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Subject: Hawaiian Royal Commission of Inquiry’s Preliminary Reports on the Elements of War Crimes and *Mens Rea*, and the Authority of the Council of Regency

Greetings:

You are receiving this letter of correspondence, and the attached Preliminary Reports on the elements of war crimes and *mens rea* and the authority of the Council of Regency, pursuant to the mandate of the Hawaiian Royal Commission of Inquiry (“Royal Commission”).<sup>1</sup> Its mandate, *inter alia*, provides:

The results of the investigation will be presented to the Council of Regency, the Contracting Powers of the 1907 Hague Convention, IV, respecting the Laws and Customs of War on Land, the Contracting Powers of the 1949 Geneva Convention, IV, relative to the Protection of Civilian Persons in Time of War, the Contracting Powers of the 2002 Rome Statute, the United Nations, the International Committee of the Red Cross, and the National Lawyers Guild in the form of a report.

My name is Dr. David Keanu Sai and I am the head of the Royal Commission. The Royal Commission was established, by proclamation of the Council of Regency, on 17 April 2019. Its mandate is to investigate war crimes and human rights violations committed within the territorial jurisdiction of the Hawaiian Kingdom since the unlawful overthrow of the Hawaiian government in 1893, and the subsequent belligerent occupation by the United States that has since ensued.

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<sup>1</sup> David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom* 8-9 (2020) (online at: [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)); see also Proclamation establishing the Royal Commission of Inquiry (17 April 2019) (online at: [https://hawaiiankingdom.org/pdf/Proc\\_Royal\\_Commission\\_of\\_Inquiry.pdf](https://hawaiiankingdom.org/pdf/Proc_Royal_Commission_of_Inquiry.pdf)).

The Council of Regency is the *interim* government of the Hawaiian Kingdom, colloquially known today as Hawai‘i, established by proclamation on 28 February 1997.<sup>2</sup> It is vested with the rights and powers afforded to a government of an occupied State in accordance with international humanitarian law.<sup>3</sup>

The lawful authority of the Council of Regency has also been the subject of a recent legal opinion by Professor Federico Lenzerini, a professor of international law from the University of Siena, Italy, which is incorporated in the Royal Commission’s second preliminary report.<sup>4</sup> The American treatise, *Restatement (Third) of the Foreign Relations Law of the United States*, which is a reiteration of Article 38(1) of the Statute of the International Court of Justice, recognizes that “writings of scholars”<sup>5</sup> as a source of international law in determining, in this case, whether the Council of Regency has been established in conformity with the rules of international humanitarian law.

In his opinion, Professor Lenzerini concluded, under the rules of international law and Hawaiian constitutional law that:

1. the Council of Regency possesses the constitutional authority to temporarily exercise the Royal powers of the Hawaiian Kingdom;<sup>6</sup>
2. the Council of Regency has the authority to represent the Hawaiian Kingdom as a State, which has been under a belligerent occupation by the United States of America since 17 January 1893, both at the domestic and international level;<sup>7</sup> and
3. the Council of Regency is exactly in the same position of a government of a State under military occupation, and is vested with the rights and powers recognized to governments of occupied States pursuant to international humanitarian law.<sup>8</sup>

He further concludes:

Under international humanitarian law, the proclamations of the Council of Regency are not divested of effects as regards the civilian population of the Hawaiian Islands. In fact, considering these proclamations as included in the concept of “legislation”...they might even, if the concrete circumstances of the

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<sup>2</sup> *Proclamation of the Restoration of the Monarchical Government* (28 Feb. 1997) (online at: [https://hawaiiankingdom.org/pdf/Proc\\_Restoration\\_of\\_Monarchical\\_Government.pdf](https://hawaiiankingdom.org/pdf/Proc_Restoration_of_Monarchical_Government.pdf)).

<sup>3</sup> A recent documentary covers the Council of Regency and its strategy to engage the prolonged occupation of the Hawaiian Kingdom (online at: <https://www.youtube.com/watch?v=CF6CaLAMh98>).

<sup>4</sup> Federico Lenzerini, *Legal Opinion on the Authority of the Council of Regency of the Hawaiian Kingdom*, in the Royal Commission of Inquiry’s Preliminary Report—The Authority of the Council of Regency of the Hawaiian Kingdom (2020) (online at: [https://hawaiiankingdom.org/pdf/RCI\\_Preliminary\\_Report\\_Regency\\_Authority.pdf](https://hawaiiankingdom.org/pdf/RCI_Preliminary_Report_Regency_Authority.pdf)).

<sup>5</sup> *Restatement (Third) of the Foreign Relations Law of the United States* §103(2)(c) (1987).

<sup>6</sup> *Lenzerini Legal Opinion*, para. 9.

<sup>7</sup> *Id.*

<sup>8</sup> *Id.*, para. 10.

case so allow, apply retroactively at the end of the occupation, on the condition that the legislative acts in point do not “disregard the rights and expectations of the occupied population.” It is therefore necessary that the occupied government refrains “from using the national law as a vehicle to undermine public order and civil life in the occupied area.”<sup>9</sup>

At the international level, the Council of Regency represented the Hawaiian Kingdom in arbitral proceedings at the Permanent Court of Arbitration, in *Larsen v. Hawaiian Kingdom*, from 1999-2001, where I served as the Hawaiian Kingdom’s Agent and head of its legal team.<sup>10</sup> The other Ministers of the Council of Regency served as Deputy Agents. The Permanent Court of Arbitration acknowledged the Council of Regency as the government of the Hawaiian Kingdom.

At the center of these proceedings was the unlawful imposition of United States municipal laws, by the State of Hawai‘i, within the territory of the Hawaiian Kingdom that led to the war crimes of *unfair trial*, *unlawful confinement* and *pillaging* of the claimant, Mr. Larsen. The *American Journal of International Law* reported:

At the center of the PCA proceeding was...that the Hawaiian Council of Regency (representing the Hawaiian Kingdom) is legally responsible under international law for the protection of Hawaiian subjects, including the claimant. In other words, the Hawaiian Kingdom was legally obligated to protect Larsen from the United States’ “unlawful imposition [over him] of [its] municipal laws” through its political subdivision, the State of Hawaii. As a result of this responsibility, Larsen submitted, the Hawaiian Council of Regency should be liable for any international law violations that the United States committed against him.<sup>11</sup>

Imposition of United States legislative and administrative measures constitutes the war crime of *usurpation of sovereignty* under customary international law. This includes the legislative and administrative measures of the State of Hawai‘i and its Counties. Professor William Schabas, renowned expert in international criminal law, authored a legal opinion for the Royal Commission that identified *usurpation of sovereignty*, among other international crimes, as a war crime that has and continues to be committed in Hawai‘i.<sup>12</sup> His legal opinion was also incorporated in a book published by the Royal Commission as

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<sup>9</sup> *Id.*, para. 12; see also *Proclamations of the Council of Regency* (online at: <https://hawaiiankingdom.org/proclamations.shtml>).

<sup>10</sup> Permanent Court of Arbitration, *Larsen v. Hawaiian Kingdom*, PCA Case no. 1999-01 (online at: <https://pca-cpa.org/en/cases/35/>).

<sup>11</sup> David J. Bederman and Kurt R. Hilbert, “Arbitration—UNCITRAL Rules—justiciability and indispensable third parties—legal status of Hawaii,” 95 *Am. J. Int’l L.* 927, 928 (2001).

<sup>12</sup> William Schabas, *Legal Opinion on war crimes related to the United States occupation of the Hawaiian Kingdom since 17 January 1893* (2020) (online at: [https://hawaiiankingdom.org/pdf/Opinion\\_War-Crimes\\_Schabas\\_RCI.pdf](https://hawaiiankingdom.org/pdf/Opinion_War-Crimes_Schabas_RCI.pdf)).

chapter 4—*War Crimes Related to the United States Belligerent Occupation of the Hawaiian Kingdom*.<sup>13</sup> This publication is downloadable as an eBook at no charge.<sup>14</sup>

After returning in December 2000 from oral hearings held at the Peace Palace,<sup>15</sup> The Hague, the Council of Regency focused its attention on the devastating effects of denationalization—*Americanization* where the national consciousness of the Hawaiian Kingdom was obliterated by the United States.<sup>16</sup> Denationalization was formally initiated in 1906 by the Board of Education and carried into effect within the public and private schools throughout the Hawaiian Islands. Within three generations, Hawaiian Kingdom national consciousness had been effectively replaced with American national consciousness and the national language of Hawaiian replaced with English. As part of this inculcation, children were intentionally led to incorrectly believe that the Hawaiian Islands had become a part of the United States, and they were now American citizens.

The awareness of the prolonged occupation has reached the National Education Association (NEA), an organization of school teachers and administrators across the United States, by a resolution introduced in 2017 by the Hawai‘i State Teachers Association at the NEA’s annual convention in Boston.<sup>17</sup> This resulted in three articles that were published by the NEA on its website in 2018.<sup>18</sup> Furthermore, the National Lawyers Guild, “the oldest and largest progressive bar association in the United States, calls upon the United States to immediately begin to comply with international humanitarian law in its prolonged and illegal occupation of the Hawaiian Kingdom since 1893.”<sup>19</sup>

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<sup>13</sup> William Schabas, “War Crimes Related to the United States Belligerent Occupation of the Hawaiian Kingdom”, in David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom* 151-169 (2020) (online at: [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

<sup>14</sup> David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom* (2020) (online at: [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

<sup>15</sup> Oral Hearings, Permanent Court of Arbitration, *Larsen v. Hawaiian Kingdom* (7, 8, 11 Dec. 2000) (online at: <https://www.youtube.com/watch?v=tmpXy2okJIg&t=230s>).

<sup>16</sup> David Keanu Sai, “Royal Commission of Inquiry”, in David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom* 28, 114-115 (2020) (online at: [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

<sup>17</sup> Hawaiian Kingdom Blog, *American National Teachers Union Recognizes the Illegal Occupation of the Hawaiian Kingdom* (11 July 2017) (online at: <https://hawaiiankingdom.org/blog/american-national-teachers-union-recognizes-the-illegal-occupation-of-the-hawaiian-kingdom/>).

<sup>18</sup> See Keanu Sai, *The Illegal Overthrow of the Hawaiian Kingdom Government* (2 Apr. 2018) (online at: <http://neatoday.org/2018/04/02/the-illegal-overthrow-of-the-hawaiian-kingdom-government/>); *The American Occupation of the Hawaiian Kingdom* (1 Oct. 2018) (online at: <http://neatoday.org/2018/10/01/the-u-s-occupation-of-the-hawaiian-kingdom/>); and *The Impact of the U.S. Occupation on the Hawaiian People* (13 Oct. 2018) (online at: <http://neatoday.org/2018/10/13/us-occupation-of-hawaii/>).

<sup>19</sup> National Lawyers Guild, Press Release, *NLG Calls Upon US to Immediately Comply with International Humanitarian Law in its Illegal Occupation of the Hawaiian Islands* (13 Jan. 2020) (online at: <https://www.nlg.org/nlg-calls-upon-us-to-immediately-comply-with-international-humanitarian-law-in-its->

One of the profound consequences of the prolonged occupation renders all judgments and orders of judicial or administrative courts of the United States in Hawai‘i, to include the State of Hawai‘i, void. According to the “voidness doctrine,” any judgment of a court without having subject matter jurisdiction over the case before it is entirely null and void.<sup>20</sup> “When a suit is brought and determined in a court which has no jurisdiction in the matter,” says Henry Black, “then it is said to be *coram non judice*, and the judgment is void.”<sup>21</sup>

In its first preliminary report, the Royal Commission addressed the volitional element of war crimes, which is a perpetrator’s awareness of the factual circumstances that established an occupation.<sup>22</sup> Under international criminal law, criminal culpability is met when the perpetrator is aware of the factual circumstances that established the United States occupation of the Hawaiian Kingdom. In its preliminary report, the Royal Commission concluded:

The element of awareness is not an outcome of a moral or legal conclusion on the part of the accused because there is “no requirement for a legal evaluation by the perpetrator as to the existence of an armed conflict or its character as international,” nor is there a “requirement for awareness by the perpetrator of the facts that established the character of the conflict as international.” As the International Criminal Court’s Pre-Trial Chamber stated, “it is not necessary for the perpetrator to have made the necessary value judgment to conclude that the victim did in fact have protected status under any of the 1949 Geneva Conventions.” While there is, however, “only a requirement for the awareness of the factual circumstances,” the Royal Commission will satisfy this element of awareness where there exists clear and unequivocal evidence of awareness on the part of the accused of the United States occupation of the Hawaiian Kingdom, *e.g.* court records, correspondences, course curriculum, sworn declarations, etc. Also, the fact of being part of the political organization of the United States, to include the State of Hawai‘i and its Counties, because in that case the knowledge of the existing “political” situation could be reasonably presumed especially in light of the 1993 Congressional joint resolution apologizing for the illegal overthrow of the Hawaiian Kingdom government on 17 January 1893.<sup>23</sup>

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[illegal-occupation-of-the-hawaiian-islands/#:~:text=The%20National%20Lawyers%20Guild%20\(NLG,the%20Hawaiian%20Kingdom%20since%201893\).\)](#)

<sup>20</sup> *The Marshalsea*, 10 Co. Rep. 68b, 77 Eng. Rep. 1027 (K.B. 1613).

<sup>21</sup> Black’s Law, 6<sup>th</sup> ed., 337 (1990).

<sup>22</sup> Royal Commission of Inquiry’s Preliminary Report—*The Material Elements of War Crimes and Ascertaining the Mens Rea* (2020) (online at: [https://hawaiiankingdom.org/pdf/RCI\\_Preliminary\\_Report\\_Mens\\_Rea.pdf](https://hawaiiankingdom.org/pdf/RCI_Preliminary_Report_Mens_Rea.pdf)).

<sup>23</sup> *Id.*, 6.

The Royal Commission’s second preliminary report, as aforementioned, covers the subject of the authority of the Council of Regency, which is the legal basis for the Royal Commission. Before Professor Lenzerini could assess the authority of the Council of Regency within the rules of international humanitarian law, he first needed to confirm that the Hawaiian Kingdom continues to exist as a subject of international law that has been under belligerent occupation by the United States since 17 January 1893. After confirming the continued existence of the Hawaiian Kingdom, he proceeded to assess the authority of the Council of Regency, which, as aforementioned, he confirmed.

Professor Lenzerini also provided comment on the relationship between the Council of Regency and the occupying State, through its proxy the State of Hawai‘i and its Counties. He opined that a “relationship between the Regency and the administration of the occupying State should have the form of a cooperative relationship aimed at guaranteeing the realization of the rights and interests of the civilian population and the correct administration of the occupied territory.”<sup>24</sup> In principle, the Royal Commission is of the opinion that war crimes and human rights violations cannot continue to be committed with impunity and the perpetrators should be held accountable, but it does recognize that the Council of Regency must have a “cooperative relationship aimed at guaranteeing the realization of the rights and interests of the civilian population and the correct administration of the occupied territory.”<sup>25</sup>

The Royal Commission sees as its priority the transformation of the State of Hawai‘i into a Military government in order to administer the laws of the occupied State, and, thereby, bringing the State of Hawai‘i and its Counties into compliance with international humanitarian law. This is the only way that the commission of war crimes and human rights violations will cease. I am enclosing a copy of a letter of correspondence dated 2 June 2020, without enclosures, from the Royal Commission to the State of Hawai‘i Attorney General Clare E. Connors on this subject.

The failure of the United States to comply with international humanitarian law, for over a century, has created a humanitarian crisis, in the context of which, in some cases, war crimes have reached the level of violations of rules of *jus cogens*. At the same time, the obligations which have been breached have *erga omnes* character—meaning that they exist toward all States. The international community’s failure to address the violations

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<sup>24</sup> *Lenzerini Legal Opinion*, para. 20.

<sup>25</sup> To see how the law of occupation plays a crucial role with the pandemic in the Hawaiian Islands and the protection of the “civilian population and the correct administration of the occupied territory,” read the latest article on the Hawaiian Kingdom Blog titled *Can Hawai‘i Successfully Live with COVID-19 Without a Vaccine? The Answer is Yes But Under the International Law of Occupation* (19 May 2020) (online at: <https://hawaiiankingdom.org/blog/can-hawaii-successfully-live-with-covid-19-without-a-vaccine-the-answer-is-yes-but-under-the-international-law-of-occupation/>).

committed in the Hawaiian territory in the last 127 years, as a matter of *obligatio erga omnes*, appears to have been caused by the United States deceptive portrayal of Hawai‘i as an incorporated territory. In case of serious breaches of obligations under peremptory norms of general international law, like in the case at hand, States have an obligation not to “recognize as lawful a situation created by a serious breach...nor render aid or assistance in maintaining that situation,”<sup>26</sup> and States “shall cooperated to bring to an end through lawful means any serious breach [by a State of an obligation arising under a peremptory norm of general international law].”<sup>27</sup>

In closing, I wish to recall General Assembly resolution 67/1, where members of the United Nations committed themselves to “ensuring that impunity is not tolerated for genocide, war crimes, crimes against humanity and for violations of international humanitarian law and gross violations of human rights law, and that such violations are properly investigated and appropriately sanctioned, including by bringing the perpetrators of any crimes to justice, through national mechanisms or, where appropriate, regional or international mechanisms, in accordance with international law.”<sup>28</sup> In this respect, member States should bear in mind that their nationals in the Hawaiian Kingdom are Protected Persons and that the Royal Commission acknowledges their rights under international humanitarian law.

Additional reports will be forthcoming.

Sincerely,



David Keanu Sai, Ph.D.  
Head, Hawaiian Royal Commission of Inquiry

enclosures

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<sup>26</sup> *Articles of Responsibility of States for Internationally Wrongful Acts*, Yearbook of the International Law Commission, 2001, vol. II, Article 41(2) (Part Two). Text reproduced as it appears in the annex to General Assembly resolution 56/83 of 12 Dec. 2001, and corrected by document A/56/49(Vol. I)/Corr.4.

<sup>27</sup> *Id.*, Article 41(1).

<sup>28</sup> General Assembly resolution 67/1, para. 22 (24 Sep. 2012).



# PRELIMINARY REPORT

*The Material Elements of War Crimes and Ascertaining the Mens Rea*

THE ROYAL COMMISSION OF INQUIRY:

Investigating War Crimes  
*and*  
Human Rights Violations  
Committed  
*in the*  
Hawaiian Kingdom

*Dr. David Keanu Sai*

HEAD, ROYAL COMMISSION OF INQUIRY

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HAWAIIAN KINGDOM



## PRELIMINARY REPORT:

### *The Material Elements of War Crimes and Ascertaining the Mens Rea*

This preliminary report of the Royal Commission of Inquiry (“Royal Commission”) on the elements of specific war crimes recognized under customary international law committed in the Hawaiian Kingdom addresses the material elements of war crimes and ascertaining the *mens rea* or culpability of the accused.

The Royal Commission recognizes that persons may be criminally responsible and liable for the punishment of war crimes only if the material elements are committed with intent and knowledge. While war crimes have no statute of limitations, the Royal Commission will not enquire into alleged war crimes committed 80 years prior due to human longevity. As stated by the International Military Tribunal, “crimes against international law are committed by men, not by abstract entities, and only by punishing individuals who commit such crimes can the provisions of international law be enforced.”<sup>1</sup>

In a letter dated 28 May 2019, I requested of Professor William Schabas, who is a recognized expert in international criminal law, “a legal opinion addressing the applicable international law, main facts and their related assessment, allegations of war crimes, and defining the material elements of the war crimes in order to identify *mens rea* and *actus reus*.”<sup>2</sup> This request was made pursuant to Article 3 of the Council of Regency’s Proclamation establishing the Royal Commission, which provides, “[t]he composition of the Royal Commission shall be decided by the head and shall be comprised of recognized experts in various fields.”<sup>3</sup>

Professor Schabas provided his legal opinion to me on 25 July 2019,<sup>4</sup> which has been reproduced in chapter 4 of *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations Committed in the Hawaiian Kingdom*.<sup>5</sup> He identified the following war crimes under customary international law, which allows the criminal courts of all States to prosecute under universal jurisdiction irrespective of whether they are a State party to a treaty or not, and their requisite material and mental elements:

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<sup>1</sup> *France et al. v. Göring et al.*, 22 IMT 411, 466 (1948).

<sup>2</sup> Sai to Schabas, Re Application of International Humanitarian Law to the Prolonged Occupation of the Hawaiian Kingdom (28 May 2019) (online at [https://hawaiiankingdom.org/pdf/RCI\\_Ltr\\_to\\_Schabas\\_\(5.28.19\).pdf](https://hawaiiankingdom.org/pdf/RCI_Ltr_to_Schabas_(5.28.19).pdf)).

<sup>3</sup> David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations Committed in the Hawaiian Kingdom* 9 (2020) (online at [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

<sup>4</sup> William Schabas, *Legal opinion on war crimes related to the United States occupation of the Hawaiian Kingdom since 17 January 1893* (25 July 2019) (online at [https://hawaiiankingdom.org/pdf/Opinion\\_War-Crimes\\_Schabas\\_RCI.pdf](https://hawaiiankingdom.org/pdf/Opinion_War-Crimes_Schabas_RCI.pdf)).

<sup>5</sup> William Schabas, “War Crimes Related to the United States Belligerent Occupation of the Hawaiian Kingdom,” in David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations Committed in the Hawaiian Kingdom* 152-169 (2020) (online at [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

*Elements of the war crime of usurpation of sovereignty during occupation*

1. The perpetrator imposed or applied legislative or administrative measures of the occupying power going beyond those required by what is necessary for military purposes of the occupation.
2. The perpetrator was aware that the measures went beyond what was required for military purposes or the protection of fundamental human rights.
3. The conduct took place in the context of and was associated with an occupation resulting from international armed conflict.
4. The perpetrator was aware of factual circumstances that established the existence of the armed conflict and subsequent occupation.<sup>6</sup>

*Elements of the war crime of compulsory enlistment*

1. The perpetrator recruited through coercion, including by means of pressure or propaganda, nationals of an occupied territory to serve in the forces of the occupying State.
2. The perpetrator was aware that the person recruited was a national of an occupied State, and the purpose of recruitment was service in an armed conflict.
3. The conduct took place in the context of, and was associated with, an occupation resulting from international armed conflict.
4. The perpetrator was aware of factual circumstances that established the existence of the armed conflict and subsequent occupation.<sup>7</sup>

*Elements of the war crime of denationalization*

1. The perpetrator participated in the imposition or application of legislative or administrative measures of the occupying power directed at the destruction of the national identity and national consciousness of the population.
2. The perpetrator was aware that the measures were directed at the destruction of the national identity and national consciousness of the population.
3. The conduct took place in the context of, and was associated with, an occupation resulting from international armed conflict.

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<sup>6</sup> *Id.*, 167.

<sup>7</sup> *Id.*, 168.

4. The perpetrator was aware of factual circumstances that established the existence of the armed conflict and subsequent occupation.<sup>8</sup>

*Elements of the war crime of pillage*

1. The perpetrator appropriated certain property.
2. The perpetrator intended to deprive the owner of the property and to appropriate it for private or personal use.
3. The appropriation was without the consent of the owner.
4. The conduct took place in the context of and was associated with an occupation resulting from international armed conflict.
5. The perpetrator was aware of factual circumstances that established the existence of the armed conflict and subsequent occupation.<sup>9</sup>

*Elements of the war crime of confiscation or destruction of property*

1. The perpetrator confiscated or destroyed property in an occupied territory, be it that belonging to the State or individuals.
2. The confiscation or destruction was not justified by military purposes of the occupation or by the public interest.
3. The perpetrator was aware that the owner of the property was the State or an individual and that the act of confiscation or destruction was not justified by military purposes of the occupation or by the public interest.
4. The conduct took place in the context of and was associated with an occupation resulting from international armed conflict.
5. The perpetrator was aware of factual circumstances that established the existence of the armed conflict and subsequent occupation.<sup>10</sup>

*Elements of the war crime of deprivation of fair and regular trial*

1. The perpetrator deprived one or more persons in an occupied territory of fair and regular trial by denying judicial guarantees recognized under international law, including those of the fourth Geneva Convention and the International Covenant on Civil and Political Rights.
2. The conduct took place in the context of, and was associated, with an occupation resulting from international armed conflict.

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<sup>8</sup> *Id.*

<sup>9</sup> *Id.*

<sup>10</sup> *Id.*

3. The perpetrator was aware of factual circumstances that established the existence of the armed conflict and subsequent occupation.<sup>11</sup>

*Elements of the war crime of deporting civilians of the occupied territory*

1. The perpetrator deported or forcibly transferred, in a way not permitted under international law, one or more persons in the occupied State to another State or location, including the occupying State, or to another location within the occupied territory, by expulsion or coercive acts.
2. Such person or persons were lawfully present in the area from which they were so deported or transferred.
3. The perpetrator was aware of the factual circumstances that established the lawfulness of such presence.
4. The conduct took place in the context of and was associated with an occupation resulting from international armed conflict.
5. The perpetrator was aware of factual circumstances that established the existence of the armed conflict and subsequent occupation.<sup>12</sup>

*Elements of the war crime of transferring populations into an occupied territory*

1. The perpetrator transferred, directly or indirectly, parts of the population of the occupying State into the occupied territory.
2. The conduct took place in the context of, and was associated, with an occupation resulting from international armed conflict.
3. The perpetrator was aware of factual circumstances that established the existence of the armed conflict and subsequent occupation.<sup>13</sup>

With respect to the last two elements listed for each crime:

1. There is no requirement for a legal evaluation by the perpetrator as to the existence of an armed conflict or its character as international or non-international;
2. In that context there is no requirement for awareness by the perpetrator of the facts that established the character of the conflict as international or non-international law;

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<sup>11</sup> *Id.*, 169.

<sup>12</sup> *Id.*

<sup>13</sup> *Id.*

3. There is only a requirement for the awareness of the factual circumstances that established the existence of an armed conflict that is implicit in the terms “took place in the context of and was associated with.”<sup>14</sup>

The elements of each of the war crimes identified by Professor Schabas describe the material scope of application as well as the accompanying mental elements. The first common element states that “[t]he conduct took place in the context of and was associated with an occupation resulting from international armed conflict.” This is to discern the conduct of war crimes from the conduct of ordinary crimes. As stated by the Appeals Chamber of the ICTY, “international humanitarian law applies from the initiation of...armed conflicts and extends beyond the cessation of hostilities until a general conclusion of peace is reached.”<sup>15</sup>

The second common element provides that “[t]he perpetrator was aware of factual circumstances that established the existence of the armed conflict and subsequent occupation.” In order to meet this particular element of awareness, which in a normal situation would be obvious, requires further explanation given the unique situation of the American occupation and the devastating effect of the war crime of denationalization had upon the population of the Hawaiian Kingdom since the beginning of the twentieth century. This denationalization through *Americanization* led to the false belief that the Hawaiian Islands were not under a prolonged American occupation since 17 January 1893, but rather had become an incorporated territory of the United States in 1898 during the Spanish-American War. Chapter 2 of *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom* provides a comprehensive and historical narrative that rectifies this false information.<sup>16</sup>

In 1906, the United States, as the occupying State, implemented a policy of *Americanization* through its proxy the Territory of Hawai‘i, which was the successor of its puppet government called the provisional government who later changed its name to the so-called Republic of Hawai‘i.<sup>17</sup> Called *Programme for Patriotic Exercises*, the purpose of this policy was to obliterate the national consciousness of the Hawaiian Kingdom in the minds of school children throughout the islands in order to conceal the occupation in the minds of future generations. The purpose of this policy was to inculcate the children into believing they were nationals of the United States and to speak in the American English language. If the children spoke in the national language of Hawaiian, they were severely punished. Within three generations, the national consciousness and history of the Hawaiian Kingdom had become obliterated and awareness of the American

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<sup>14</sup> *Id.*, 167.

<sup>15</sup> *Prosecutor v. Dusko Tadic*, ICTY Appeals Chamber, Decision on the defense motion for interlocutory appeal on jurisdiction, 2 Oct. 1995, IT-94-1-AR72, para. 70.

<sup>16</sup> David Keanu Sai, “United States Belligerent Occupation of the Hawaiian Kingdom,” in David Keanu Sai’s (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations Committed in the Hawaiian Kingdom* 97-121 (2020) (online at [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

<sup>17</sup> *Id.*, 114.

occupation was erased. However, due to the decision of the Council of Regency, after returning from arbitral proceedings at the Permanent Court of Arbitration in December of 2000, to counter the effects of denationalization through academic research, publications and classroom instruction at the University of Hawai‘i at Manoa, the awareness of the American occupation soon became widespread.<sup>18</sup>

Given most situations where “the existence of the armed conflict and subsequent occupation” would be manifestly apparent, the Hawaiian situation presents a lacunae or space that needs to be filled that will satisfy the element of awareness “of factual circumstances that established the existence of the armed conflict and subsequent occupation.” In light of the effects of *Americanization* through denationalization, a change in awareness of the United States occupation by the accused must be evidence based.

The element of awareness is not an outcome of a moral or legal conclusion on the part of the accused because there is “no requirement for a legal evaluation by the perpetrator as to the existence of an armed conflict or its character as international,” nor is there a “requirement for awareness by the perpetrator of the facts that established the character of the conflict as international.” As the International Criminal Court’s Pre-Trial Chamber stated, “it is not necessary for the perpetrator to have made the necessary value judgment to conclude that the victim did in fact have protected status under any of the 1949 Geneva Conventions.”<sup>19</sup> While there is, however, “only a requirement for the awareness of the factual circumstances,” the Royal Commission will satisfy this element of awareness where there exists clear and unequivocal evidence of awareness on the part of the accused of the United States occupation of the Hawaiian Kingdom, *e.g.* court records, correspondences, course curriculum, sworn declarations, etc. Also, the fact of being part of the political organization of the United States, to include the State of Hawai‘i and its Counties, because in that case the knowledge of the existing “political” situation could be reasonably presumed especially in light of the 1993 Congressional joint resolution apologizing for the illegal overthrow of the Hawaiian Kingdom government on 17 January 1893.<sup>20</sup>

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<sup>18</sup> David Keanu Sai, “The Royal Commission of Inquiry,” in David Keanu Sai’s (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations Committed in the Hawaiian Kingdom* 29-33 (2020) (online at [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

<sup>19</sup> *Prosecutor v. Germain Katanga et al.*, ICC Pre-Trial Chamber, Decision on the confirmation of charges, 30 Sep. 2008, ICC-01/04-01/07, para. 305.

<sup>20</sup> *Joint Resolution To acknowledge the 100<sup>th</sup> anniversary of the January 17, 1893 overthrow of the Kingdom of Hawaii, and to offer an apology to Native Hawaiians on behalf of the United States for the overthrow of the Kingdom of Hawaii*, 107 Stat. 1510 (Public Law 103-150—Nov. 23, 1993) (online at [https://hawaiiankingdom.org/pdf/1993\\_Apology\\_Resolution.pdf](https://hawaiiankingdom.org/pdf/1993_Apology_Resolution.pdf)). See also Annexure 2, Arbitral Award, *Larsen v. Hawaiian Kingdom*, 119 *Int’l L. Reports* 566, 610-615 (2001).

For the purpose of determining the severity of culpable mental states—*mens rea*, the Royal Commission adopts Professor Mohamed Badar’s recommendations of *dolus directus* of the first degree, *dolus directus* of the second degree, and *dolus eventualis*.<sup>21</sup> According to Professor Badar:

[...] *Dolus directus* of the first degree

[T]his form of mens rea (*dolus directus* of the first degree) is the gravest aspect of culpability in which the volition part dominates. It is generally assumed that an offender acts with *dolus directus* of the first degree if he desires to bring about the result. In this type of intent, the actor’s ‘will’ is directed finally towards the accomplishment of that result. *Dolus directus* of the first degree is also defined as a ‘purpose-bound will’. It is irrelevant in this type of intent whether the intended result is the defendant’s final goal or just a necessary interim goal in order to achieve the final one.

[...] *Dolus directus* of the second degree

In this form of intent, the perpetrator foresees the consequence of his conduct as being certain or highly probable. This secondary consequence is not the perpetrator’s primary purpose. It may be undesired lateral consequence of the envisaged behaviour, but because the perpetrator acts indifferently with regard to the second consequence, he is deemed to have desired this later result. Yet in case of *dolus directus* of the second degree, the cognitive element (knowledge) dominates, whereas the volition element is weak. It is not required that the perpetrator desires to bring about the side effect in question; knowledge is sufficient. In such cases, the perpetrator may be indifferent or may even regret the result. Thus, the distinction between first and second degree *dolus directus* depends on the absence or presence of desire to achieve the objective elements of the crime at issue.

[...] *Dolus eventualis*

*Dolus eventualis* as a form of culpable mental state has been expressly endorsed by the jurisprudence of the two *ad hoc* Tribunals. The case law of these Tribunals made it clear that the *dolus eventualis* is sufficient to trigger the criminal responsibility for serious crimes such as examination as a crime against humanity. A recent decision by the ICC provides further clarification of the nature of this mental state which entails criminal liability for most of the crimes under the subject

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<sup>21</sup> Mohamed Elewa Badar, *The Concept of Mens Rea in International Criminal Law: The Case for a Unified Approach* 535-537 (2013).

matter jurisdiction of the International Criminal Court. According to the Lubanga Pre-Trial Chamber, *dolus eventualis* encompasses,

Situations in which the suspect (a) is aware of the risk that the objective elements of the crime may result from his or her actions, and (b) accepts such an outcome by reconciling himself or herself with it or consenting to it.

*Dolus eventualis* must be distinguished from the common law notion of recklessness. The former requires not only that the perpetrator is aware of the risk, but that he accepts the possibility of its occurrence (volitive element). Unlike *dolus eventualis*, recklessness requires an affirmative aversion to the harmful side effect. This position is supported by a recent judgment of the Yugoslavia Tribunal in which the Orić Trial Chamber agreed with the defense submission that intent does not include recklessness.

*Dolus eventualis*, as perceived by Fletcher, is defined as ‘a particular subjective posture toward the result. The tests... vary; the possibilities include everything from being indifferent to the result, to “being reconciled” with the result as a possible cost of attaining one’s goal’. However, the present author is of the opinion that in the sphere of international criminal law *dolus eventualis* must be interpreted as a foresight of the likelihood of the occurrence of the consequences and not mere indifference towards its occurrence. This element of acceptance brings *dolus eventualis* within the contour of intention in its broader sense and ruled out the common law recklessness as a culpable mental state under Article 30 of the ICC Statute.<sup>22</sup>

The Royal Commission will confine its inquiry into the aforementioned war crimes together with the requisite material elements in order to categorize the mental element of *mens rea* as either *dolus directus* of the first degree, *dolus directus* of the second degree, or *dolus eventualis*.



David Keanu Sai, Ph.D.

Head, *Royal Commission of Inquiry*

24 March 2020

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<sup>22</sup> *Id.*

# PRELIMINARY REPORT

*The Authority of the Council of Regency of the Hawaiian Kingdom*

THE ROYAL COMMISSION OF INQUIRY:

Investigating War Crimes  
*and*  
Human Rights Violations  
Committed  
*in the*  
Hawaiian Kingdom

*Dr. David Keanu Sai*

HEAD, ROYAL COMMISSION OF INQUIRY

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HAWAIIAN KINGDOM



## PRELIMINARY REPORT:

### *The Authority of the Council of Regency of the Hawaiian Kingdom*

This preliminary report of the Royal Commission of Inquiry (“Royal Commission”) on the Council of Regency addresses the Regency’s lawful authority for purposes of public awareness and clarification.

In light of the severity of the mandate of the Royal Commission, established by the Hawaiian Council of Regency on 17 April 2019, to investigate war crimes and human rights violations committed within the territorial jurisdiction of the Hawaiian Kingdom, the “authority” of the Council of Regency to appoint the Royal Commission is fundamental and, therefore, necessary to address within the rules of international humanitarian law, which is a component of international law. As the United States Supreme Court in 1900 explained:

International law is part of our law, and must be ascertained and administered by the courts of justice of appropriate jurisdiction as often as questions of right depending upon it are duly presented for their determination. For this purpose, where there is no treaty and no controlling executive or legislative act or judicial decision, resort must be had to the customs and usages of civilized nations, and, as evidence of these, to the works of jurists and commentators who by years of labor, research, and experience have made themselves peculiarly well acquainted with the subjects of which they treat. Such works are resorted to by judicial tribunals not for the speculations of their authors concerning what the law ought to be, but for trustworthy evidence of what the law really is.<sup>1</sup>

According to the Statute of the International Court of Justice, “the teachings of the most highly qualified publicists of the various nations, [are] subsidiary means for the determination of rules of law.”<sup>2</sup> Furthermore, *Restatement Third—Foreign Relations Law of the United States*, recognizes that “writings of scholars”<sup>3</sup> are a source of international law in determining, in this case, whether the Council of Regency has been established in conformity with the rules of international humanitarian law. The writing of scholars, “whether a rule has become international law,” are not prescriptive but rather descriptive “of what the law really is.”

As head of the Royal Commission, the author provided a narrative of the authority of the Council of Regency, a process *sui generis*, for purposes of explanation and understanding, but according to the aforementioned, it may not be considered authoritative as to whether it meets the rules of international law.<sup>4</sup> Therefore, in order to satisfy this requirement and to remove any questions as

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<sup>1</sup> *The Paquete Habana*, 175 U.S. 677, 700 (1900).

<sup>2</sup> Article 38(1), Statute of the International Court of Justice.

<sup>3</sup> §103(2)(c), *Restatement of the Law (Third)—The Foreign Relations Law of the United States* (1987).

<sup>4</sup> David Keanu Sai, “Royal Commission of Inquiry”, in David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom* 18-23 (2020) (online at [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

to the authority of the Council of Regency, Federico Lenzerini, Ph.D., a professor of international law from the University of Siena, Italy, was requested, by letter dated 11 May 2020, which is attached hereto, to provide a legal opinion on the following:

*First*, does the Regency have the authority to represent the Hawaiian Kingdom as a State that has been under a belligerent occupation by the United States of America since 17 January 1893?

*Second*, assuming the Regency does have the authority, what effect would its proclamations have on the civilian population of the Hawaiian Islands under international humanitarian law, to include its proclamation recognizing the State of Hawai‘i and its Counties as the administration of the occupying State on 3 June 2019?

*Third*, can you provide comment on the working relationship between the Regency and the administration of the occupying State under international humanitarian law?

On 24 May 2020, Professor Lenzerini completed his legal opinion, which is attached hereto. His opinion begins by stating:

In order to ascertain whether the Regency has the authority to represent the Hawaiian Kingdom *as a State*, it is preliminarily necessary to ascertain whether the Hawaiian Kingdom can actually be considered a State under international law. To this purpose, two issues need to be investigated, i.e.: a) whether the Hawaiian Kingdom was a State at the time when it was militarily occupied by the United States of America, on 17 January 1893; b) in the event that the solution to the first issue would be positive, whether the continuous occupation of Hawai‘i by the United States, from 1893 to present times, has led the Hawaiian Kingdom to be extinguished as an independent State and, consequently, as a subject of international law.<sup>5</sup>

After addressing the historical record and citing the Permanent Court of Arbitration, he concluded, “[i]t is therefore unquestionable that in the 1890s the Hawaiian Kingdom was an independent State and, consequently, a subject of international law. This presupposed that its territorial sovereignty and internal affairs could not be legitimately violated by other States.”<sup>6</sup>

After concluding the Hawaiian Kingdom did exist as a subject of international law, Professor Lenzerini stated, “it is now necessary to determine whether the continuous occupation of Hawai‘i by the United States from 1893 to present times has led the Hawaiian Kingdom to be extinguished as an independent State and, consequently, as a subject of international law.”<sup>7</sup> He addressed this

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<sup>5</sup> Federico Lenzerini, *Legal Opinion on the Authority of the Council of Regency of the Hawaiian Kingdom* para. 1 (2020).

<sup>6</sup> *Id.*, para. 2.

<sup>7</sup> *Id.*, para. 3.

issue “by means of a careful assessment carried out through ‘having regard *inter alia* to the lapse of time since the annexation [by the United States], subsequent political, constitutional and international developments, and relevant changes in international law since the 1890s.”<sup>8</sup>

Aside from all speculative arguments, Professor Lenzerini concludes, “the argument which appears to overcome all the others is that a long-lasting and well-established rule of international law exists establishing that military occupation, irrespective of the length of its duration, cannot produce the effect of extinguishing the sovereignty and statehood of the occupied State.”<sup>9</sup> On this subject, he provides an English translation of a statement made by the Swiss arbitrator Eugène Borel in the 1925 *Ottoman Public Debt* case:

Whatever are the effects of the occupation of a territory by the enemy before the re-establishment of peace, it is certain that such an occupation alone cannot legally determine the transfer of sovereignty [...] The occupation, by one of the belligerents, of [...] the territory of the other belligerent is nothing but a pure fact. It is a state of things essentially provisional, which does not legally substitute the authority of the invading belligerent to that of the invaded belligerent.<sup>10</sup>

Professor Lenzerini also cites renowned jurist Oppenheim who stated that “[t]he only form in which a cession [of sovereignty] can be effected is an agreement embodied in a treaty between the ceding and the acquiring State. Such treaty may be the outcome of peaceable negotiations or of war.”<sup>11</sup> Without a treaty with the Hawaiian Kingdom ceding its territory to the United States, he concludes that, “according to a plain and correct interpretation of the relevant legal rules, the Hawaiian Kingdom cannot be considered, by virtue of the prolonged US occupation, as extinguished as an independent State and a subject of international law, despite the long and effective exercise of the attributes of government by the United States over Hawaiian territory.”<sup>12</sup> Therefore, the Hawaiian Kingdom “has been under uninterrupted belligerent occupation by the United States of America, from 17 January 1893 up to the moment of this writing.”<sup>13</sup>

After confirming the continuity of the Hawaiian Kingdom, Professor Lenzerini reviewed the process by which the Council of Regency was formed, he further concludes “on the basis of the doctrine of necessity,...the Council of Regency possesses the constitutional authority to temporarily exercise the Royal powers of the Hawaiian Kingdom.”<sup>14</sup> He further concludes “that the Regency actually has the authority to represent the Hawaiian Kingdom as a State, which has been under a belligerent occupation by the United States of America since 17 January 1893, both

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<sup>8</sup> *Id.*, para. 3.

<sup>9</sup> *Id.*, para. 4.

<sup>10</sup> *Id.*, fn. 5.

<sup>11</sup> *Id.*, para. 4.

<sup>12</sup> *Id.*, para. 5.

<sup>13</sup> *Id.*, para. 6.

<sup>14</sup> *Id.*, para. 8.

at the domestic and international level.”<sup>15</sup> In international proceedings at the Permanent Court of Arbitration from 1999-2001, the Council of Regency did represent the Hawaiian Kingdom in *Larsen v. Hawaiian Kingdom*, and the author served as the Hawaiian Kingdom’s agent and head of its legal team.<sup>16</sup> A copy of the summary of the case from the Permanent Court of Arbitration’s case repository is attached hereto.

In its capacity as representing the Hawaiian Kingdom, Professor Lenzerini concludes that “the Council of Regency is exactly in the same position of a government of a State under military occupation, and is vested with the rights and powers recognized to governments of occupied States pursuant to international humanitarian law.”<sup>17</sup> Therefore, “the ousted government being the entity which represents the ‘legitimate government’ of the occupied territory...may ‘attempt to influence life in the occupied area out of concern for its nationals, to undermine the occupant’s authority, or both. One way to accomplish such goals is to legislate for the occupied population.’”<sup>18</sup>

Regarding legislation by governments of occupied States, Professor Lenzerini cites the Swiss Federal Tribunal which held that “[e]nactments by the [exiled government] are constitutionally laws of the [country] and applied [from the beginning] to the territory occupied [...] even though they could not be effectively implemented until the liberation.”<sup>19</sup> He explains that “[a]lthough this position was taken with specific regard to exiled governments, and the Council of Regency was not established *in exile* but *in situ*, the conclusion, to the extent that it is considered valid, would not substantially change as regards the Council of Regency itself.”<sup>20</sup> Hence,

under international humanitarian law, the proclamations of the Council of Regency are not divested of effects as regards the civilian population of the Hawaiian Islands. In fact, considering these proclamations as included in the concept of “legislation”...they might even, if the concrete circumstances of the case so allow, apply retroactively at the end of the occupation, on the condition that the legislative acts in point do not “disregard the rights and expectations of the occupied population.” It is therefore necessary that the occupied government refrains “from using the national law as a vehicle to undermine public order and civil life in the occupied area.”<sup>21</sup>

When the legislative function is exercised by the Council of Regency, through its proclamations, it “is subjected to the condition of not undermining the rights and interests of the civilian population,”<sup>22</sup> and therefore “may be considered applicable to local people, unless such

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<sup>15</sup> *Id.*, para. 9.

<sup>16</sup> Permanent Court of Arbitration, *Larsen v. Hawaiian Kingdom*, PCA Case no. 1999-01 (online at <https://pca-cpa.org/en/cases/35/>).

<sup>17</sup> Lenzerini opinion, para. 10.

<sup>18</sup> *Id.*, para. 11.

<sup>19</sup> *Id.*

<sup>20</sup> *Id.*

<sup>21</sup> *Id.*, para. 12.

<sup>22</sup> *Id.*

applicability is explicitly refuted by the occupying authority.”<sup>23</sup> “In this regard,” states Professor Lenzerini, “it is reasonable to assume that the occupying power should not deny the applicability of the...proclamations when they do not undermine, or significantly interfere with the exercise of, its authority.”<sup>24</sup>

Addressing the proclamation of the Council of Regency recognizing the State of Hawai‘i and the Counties as the administration of the Occupying State, Professor Lenzerini states, “this Proclamation pursues the clear purpose of ensuring the protection of the Hawaiian territory and the people residing therein against the prejudicial effects which may arise from the occupation.”<sup>25</sup> He explains that “it represents a legislative act aimed at furthering the interests of the civilian population through ensuring the correct administration of their rights and of the land. As a consequence, it has the nature of an act that is equivalent, in its rationale and purpose (although not in its precise subject), to a piece of legislation concerning matters of personal status of the local population, requiring the occupant to give effect to it.”<sup>26</sup> He, therefore, concludes that “the proclamations of the Council of Regency—including the Proclamation recognizing the State of Hawai‘i and its Counties as the administration of the occupying State on 3 June 2019—have on the civilian population the effect of acts of domestic legislation aimed at protecting their rights and prerogatives, which should be, to the extent possible, respected and implemented by the occupying power.”<sup>27</sup>

In his commentary on the working relationship between the Regency and the administration of the occupying State, Professor Lenzerini establishes that the law of occupation “allows for authority to be shared by the Occupying Power and the occupied government, provided the former continues to bear the ultimate and overall responsibility for the occupied territory.”<sup>28</sup> By implementing the legislation of the Council of Regency, “the occupying power would better comply with its obligation, existing under international humanitarian law and human rights law, to guarantee and protect the human rights of the local population. It follows that the occupying power has a duty—if not a proper legal obligation—to cooperate with the [Council of Regency] to better realize the rights and interest of the civilian population, and, more in general, to guarantee the correct administration of the occupied territory.”<sup>29</sup> Professor Lenzerini concludes:

[T]he working relationship between the Regency and the administration of the occupying State should have the form of a cooperative relationship aimed at guaranteeing the realization of the rights and interests of the civilian population and the correct administration of the occupied territory, provided that there are no objective obstacles for

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<sup>23</sup> *Id.*

<sup>24</sup> *Id.*

<sup>25</sup> *Id.*, para. 13.

<sup>26</sup> *Id.*

<sup>27</sup> *Id.*, para. 14.

<sup>28</sup> *Id.*, para. 15.

<sup>29</sup> *Id.*, para. 19.

the occupying power to cooperate and that, in any event, the “supreme” decision-making power belongs to the occupying power itself. This conclusion is consistent with the position of the latter as “administrator” of the Hawaiian territory, as stated in the Council of Regency’s Proclamation recognizing the State of Hawai‘i and its Counties as the administration of the occupying State of 3 June 2019 and presupposed by the pertinent rules of international humanitarian law.<sup>30</sup>

This cooperative relationship, however, is “premised on both the Council of Regency and the State of Hawai‘i and its Counties [to] ensure [their] compliance with international humanitarian law.”<sup>31</sup> Compliance with the law of occupation requires the State of Hawai‘i to transform itself into a government recognized under international humanitarian law. United States practice during occupations requires the establishment of a Military government, which “is the form of administration by which an occupying power exercises governmental authority over occupied territory. The necessity for such government arises from the failure or inability of the legitimate government to exercise its functions on account of the military occupation.”<sup>32</sup> The establishment of Military government is not limited to the United States military, but also applies to a proxy of the occupying power that is in effective control of Hawaiian territory such as the State of Hawai‘i and its Counties. United States practice recognizes that an occupying power “has the duty of establishing [a Military government] when the government of such territory is absent or unable to function properly.”<sup>33</sup>

Furthermore, “[i]t is immaterial whether the government over an [occupied State’s] territory consists in a military or civil or mixed administration. Its character is the same and the source of its authority is the same. It is a government imposed by force, and the legality of its acts is determined by the law of war.”<sup>34</sup> And “restrictions placed upon the authority of a belligerent government cannot be avoided by a system of using a puppet government, central or local, to carry out acts which would be unlawful if performed by the occupant. Acts induced or compelled by the occupant are nonetheless its acts.”<sup>35</sup>

In the current state of things, the State of Hawai‘i is not a Military government but rather a “puppet government” or proxy of the United States that continues to commit the war crime of *usurpation of sovereignty* by unlawfully imposing or applying “legislative or administrative measures of the occupying power going beyond those required by what is necessary for military purposes of the occupation.”<sup>36</sup> The volitional element, or criminal intent, of *usurpation of sovereignty*, according

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<sup>30</sup> *Id.*, para. 20.

<sup>31</sup> *Id.*, para. 18.

<sup>32</sup> The Law of Land Warfare, United States Army Field Manual 27-10, para. 362 (1956).

<sup>33</sup> United States Army and Navy Manual of Civil Affairs Military Government, FM 27-5, 4 (1947).

<sup>34</sup> FM 27-10, para. 368.

<sup>35</sup> *Id.*, para. 366.

<sup>36</sup> William Schabas, “War Crimes Related to the United States Belligerent Occupation of the Hawaiian Kingdom”, in David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights*

to Professor William Schabas, is that the “perpetrator was aware of factual circumstances that established the existence of the armed conflict and subsequent occupation.”<sup>37</sup> There is no statute of limitation for war crimes but it is customary for individuals to be prosecuted for the commission of war crimes up to 80 years after the alleged war crime was committed given the life expectancy of individuals.<sup>38</sup>

In 2012, member States of the United Nations committed themselves to “ensuring that impunity is not tolerated for genocide, war crimes, crimes against humanity and for violations of international humanitarian law and gross violations of human rights law, and that such violations are properly investigated and appropriately sanctioned, including by bringing the perpetrators of any crimes to justice, through national mechanisms or, where appropriate, regional or international mechanisms, in accordance with international law.”<sup>39</sup>

According to the applicable rules of international law, as provided in the legal opinion of Professor Lenzerini, the Council of Regency, *first*, does have the lawful authority to represent the Hawaiian Kingdom as a State that has been under a belligerent occupation by the United States since 17 January 1893; *second*, its proclamations do have legal effects on the civilian population of the Hawaiian Islands, to include its proclamation recognizing the State of Hawai‘i and its Counties as the administration of the occupying State on 3 June 2019; and, *third*, international humanitarian law does provide for a cooperative relationship between the Regency and the administration of the occupying State—the State of Hawai‘i and its Counties. Furthermore, the mandate of the Royal Commission, which was established by “legislation” of the Council of Regency, is also confirmed by the aforementioned legal opinion and the applicable rules of international law.



David Keanu Sai, Ph.D.

Head, *Royal Commission of Inquiry*

27 May 2020

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*Violations in the Hawaiian Kingdom* 155-157, 167 (2020) (online at [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

<sup>37</sup> *Id.*, 167.

<sup>38</sup> *Id.*, 155.

<sup>39</sup> General Assembly resolution 67/1, para. 22 (24 September 2012).





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May 11, 2020

Federico Lenzerini  
via G. Montorsoli, 67  
Poggibonsi 53036  
Italy

Re: Legal Opinion on the Authority of the Council of Regency

Aloha Federico:

As we discussed in our Skype meeting, would you be so kind, for purposes of public awareness and clarification of the Regency's authority, to provide a legal opinion on the following:

*First*, does the Regency have the authority to represent the Hawaiian Kingdom as a State that has been under a belligerent occupation by the United States of America since 17 January 1893?

*Second*, assuming the Regency does have the authority, what effect would its proclamations have on the civilian population of the Hawaiian Islands under international humanitarian law, to include its proclamation recognizing the State of Hawai'i and its Counties as the administration of the occupying State on 3 June 2019?

*Third*, can you provide comment on the working relationship between the Regency and the administration of the occupying State under international humanitarian law?

Aloha no (Best wishes) and be safe and in good health,

A handwritten signature in blue ink that reads "David Keanu Sai". The signature is fluid and cursive, with a distinct dot at the end of the last name.

David Keanu Sai, Ph.D.

Head, Hawaiian Royal Commission of Inquiry

**LEGAL OPINION ON THE AUTHORITY OF THE  
COUNCIL OF REGENCY OF THE HAWAIIAN KINGDOM**

PROFESSOR FEDERICO LENZERINI\*

As requested in the Letter addressed to me, on 11 May 2020, by Dr. David Keanu Sai, Ph.D., Head of the Hawaiian Royal Commission of Inquiry, I provide below a legal opinion in which I answer the three questions included in the above letter, for purposes of public awareness and clarification of the Regency's authority.

**a) Does the Regency have the authority to represent the Hawaiian Kingdom as a State that has been under a belligerent occupation by the United States of America since 17 January 1893?**

1. In order to ascertain whether the Regency has the authority to represent the Hawaiian Kingdom *as a State*, it is preliminarily necessary to ascertain whether the Hawaiian Kingdom can actually be considered a State under international law. To this purpose, two issues need to be investigated, i.e.: a) whether the Hawaiian Kingdom was a State at the time when it was militarily occupied by the United States of America, on 17 January 1893; b) in the event that the solution to the first issue would be positive, whether the continuous occupation of Hawai'i by the United States, from 1893 to present times, has led the Hawaiian Kingdom to be extinguished as an independent State and, consequently, as a subject of international law.
2. With respect to the first of the abovementioned issues, as acknowledged by the Arbitral Tribunal of the Permanent Court of Arbitration (PCA) in the *Larsen* case, "in the nineteenth century the Hawaiian Kingdom existed as an independent State recognized as such by the United States of America, the United Kingdom and various other States, including by exchanges of diplomatic or consular representatives and the conclusion of treaties."<sup>1</sup> At the time of the American occupation, the Hawaiian Kingdom fully satisfied the four elements of statehood prescribed by customary international law, which were later codified by the *Montevideo Convention on the Rights and Duties of States* in 1933<sup>2</sup>: a) a permanent population; b) a defined territory; c) government; and d) capacity to enter into relations with the other states. This is confirmed by the fact that

"the Hawaiian Kingdom became a full member of the Universal Postal Union on 1 January 1882, maintained more than a hundred legations and consulates throughout the world, and entered into extensive diplomatic and treaty relations with other States that included Austria-Hungary, Belgium, Bremen, Denmark, France, Germany, Great Britain, Hamburg, Italy, Japan, Netherlands, Portugal, Russia, Spain, Sweden-Norway, Switzerland and the United States".<sup>3</sup>

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<sup>1</sup> See *Larsen v. Hawaiian Kingdom*, 119 *International Law Reports*, 2001, 566, at 581.

<sup>2</sup> See *Montevideo Convention on the Rights and Duties of States*, 1933, 165 *LNTS* 19, Article 1. This article codified the so-called *declarative* theory of statehood, already accepted by customary international law; see Thomas D. Grant, "Defining Statehood: The Montevideo Convention and its Discontents", 37 *Columbia Journal of Transnational Law*, 1998-1999, 403; Joshua Castellino, *International Law and Self-Determination: The Interplay of the Politics of Territorial Possession with Formulations of Post-Colonial 'National' Identity*, The Hague/Boston/London, 2000, at 77; David J. Harris (ed.), *Cases and Materials on International Law*, 6<sup>th</sup> Ed., London, 2004, at 99.

<sup>3</sup> See David Keanu Sai, "Hawaiian Constitutional Governance", in David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom*, Honolulu, 2020, 58, at 64 (footnotes omitted).

It is therefore unquestionable that **in the 1890s the Hawaiian Kingdom was an independent State and, consequently, a subject of international law.** This presupposed that its territorial sovereignty and internal affairs could not be legitimately violated by other States.

3. Once established that the Hawaiian Kingdom was actually a State, under international law, at the time when it was militarily occupied by the United States of America, on 17 January 1893, it is now necessary to determine whether the continuous occupation of Hawai'i by the United States from 1893 to present times has led the Hawaiian Kingdom to be extinguished as an independent State and, consequently, as a subject of international law. This issue is undoubtedly controversial, and may be considered according to different perspectives. As noted by the Arbitral Tribunal established by the PCA in the *Larsen* case, in principle the question in point might be addressed by means of a careful assessment carried out through “having regard *inter alia* to the lapse of time since the annexation [by the United States], subsequent political, constitutional and international developments, and relevant changes in international law since the 1890s”.<sup>4</sup>
4. However—beyond all speculative argumentations and the consequential conjectures that might be developed depending on the different perspectives under which the issue in point could be addressed—in reality the argument which appears to overcome all the others is that a long-lasting and well-established rule of international law exists establishing that military occupation, irrespective of the length of its duration, *cannot* produce the effect of extinguishing the sovereignty and statehood of the occupied State. In fact, the validity of such a rule has *not* been affected by whatever changes occurred in international law since the 1890s. Consistently, as emphasized by the Swiss arbitrator Eugène Borel in 1925, in the famous *Affaire de la Dette publique ottomane*,

“[q]uels que soient les effets de l’occupation d’un territoire par l’adversaire avant le rétablissement de la paix, il est certain qu’à elle seule cette occupation ne pouvait opérer juridiquement le transfert de souveraineté [...] L’occupation, par l’un des belligérants, de [...] territoire de l’autre belligérant est un pur fait. C’est un état de choses essentiellement provisoire, qui ne substitue pas légalement l’autorité du belligérant envahisseur à celle du belligérant envahi”.<sup>5</sup>

This position was confirmed by, among others, the US Military Tribunal at Nuremberg in 1948, holding that “[i]n belligerent occupation the occupying power does not hold enemy territory by virtue of any legal right. On the contrary, it merely exercises a precarious and temporary actual control”.<sup>6</sup> Indeed, as noted, much more recently, by Yoram Dinstein, “occupation does not affect sovereignty. The displaced sovereign loses possession of the occupied territory *de facto* but it retains title *de jure* [i.e. “as a matter of law”]”.<sup>7</sup> In this regard, as previously specified, this conclusion can in no way be influenced by the length of the occupation in time, as “[p]rolongation of the occupation does not affect its innately temporary nature”.<sup>8</sup> It follows that “‘precarious’ as it is, the sovereignty of the displaced sovereign over the occupied territory is not terminated” by belligerent occupation.<sup>9</sup> Under international law, “le transfert de souveraineté ne peut être considéré comme effectué juridiquement que par l’entrée en vigueur du Traité qui le stipule et à dater du jour de cette mise en

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<sup>4</sup> See *Larsen v. Hawaiian Kingdom*, *supra* n. 1, at 9.2.

<sup>5</sup> See *Affaire de la Dette publique ottomane (Bulgarie, Irak, Palestine, Transjordanie, Grèce, Italie et Turquie)*, 18 April 1925, *Reports of International Arbitral Awards*, Volume I, 529, also available at <[https://legal.un.org/riaa/cases/vol\\_I/529-614.pdf](https://legal.un.org/riaa/cases/vol_I/529-614.pdf)> (accessed on 16 May 2020), at 555 (“whatever are the effects of the occupation of a territory by the enemy before the re-establishment of peace, it is certain that such an occupation alone cannot legally determine the transfer of sovereignty [...] The occupation, by one of the belligerents, of [...] the territory of the other belligerent is nothing but a pure fact. It is a state of things essentially provisional, which does not legally substitute the authority of the invading belligerent to that of the invaded belligerent”).

<sup>6</sup> See *USA v. Otto Ohlendorf et al. (Einsatzgruppen Trial)*, 10 April 1948, (1948) *LRTWC* 411, at 492.

<sup>7</sup> See Yoram Dinstein, *The International Law of Belligerent Occupation*, 2<sup>nd</sup> Ed., Cambridge, 2019, at 58.

<sup>8</sup> *Ibid.*

<sup>9</sup> *Ibid.* (footnotes omitted). See also, consistently, Peter M.R. Stirk, *The Politics of Military Occupation*, Edinburgh, 2009, at 168 and 230.

- vigueur”,<sup>10</sup> which means, in the words of the famous jurist Oppenheim, that “[t]he only form in which a cession [of sovereignty] can be effected is an agreement embodied in a treaty between the ceding and the acquiring State. Such treaty may be the outcome of peaceable negotiations or of war”.<sup>11</sup> Such a conclusion corresponds to “a universally recognized rule which is endorsed by jurists and confirmed by numerous rulings of international and national courts”.<sup>12</sup>
5. The United States has taken possession of the territory of Hawai‘i solely through de facto occupation and unilateral annexation, without concluding any treaty with the Hawaiian Kingdom. Furthermore, it appears that such an annexation has taken place in contravention of the rule of *estoppel*. At it is known, in international law “the doctrine of estoppel protects legitimate expectations of States induced by the conduct of another State”.<sup>13</sup> On 18 December 1893 President Cleveland concluded with Queen Lili‘uokalani a treaty, by executive agreement, which obligated the President to restore the Queen as the Executive Monarch, and the Queen thereafter to grant clemency to the insurgents.<sup>14</sup> Such a treaty, which was never carried into effect by the United States, would have precluded the latter from claiming to have acquired Hawaiian territory, because it had evidently induced in the Hawaiian Kingdom the legitimate expectation that the sovereignty of the Queen would have been reinstated, an expectation which was unduly frustrated through the annexation. It follows from the foregoing that, according to a plain and correct interpretation of the relevant legal rules, **the Hawaiian Kingdom cannot be considered, by virtue of the prolonged US occupation, as extinguished as an independent State and a subject of international law**, despite the long and effective exercise of the attributes of government by the United States over Hawaiian territory.<sup>15</sup> In fact, in the event of illegal annexation, “the legal existence of [...] States [is] preserved from extinction”,<sup>16</sup> since “illegal occupation cannot of itself terminate statehood”.<sup>17</sup> The possession of the attribute of statehood by the Hawaiian Kingdom was substantially confirmed by the PCA, which, before establishing the Arbitral Tribunal for the *Larsen* case, had to get assured that one of the parties of the arbitration was a State, as a necessary precondition for its jurisdiction to exist. In that case, the Hawaiian Kingdom was actually qualified as a “State”, while the Claimant—Lance Paul Larsen—as a “Private entity.”<sup>18</sup>
6. The conclusion according to which the Hawaiian Kingdom cannot be considered as having been extinguished—as a State—as a result of the American occupation also allows to confirm, *de plano*, that the Hawaiian Kingdom, as an independent State, **has been under uninterrupted belligerent occupation by the United States of America, from 17 January 1893 up to the moment of this writing**. This conclusion cannot be validly contested, even by virtue of the hypothetical consideration according to which, since the American occupation of Hawai‘i has not substantially involved the use of military force, and has not encountered military resistance by the Hawaiian

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<sup>10</sup> See *Affaire de la Dette publique ottomane*, *supra* n. 5, at 555 (“the transfer of sovereignty can only be considered legally effected by the entry into force of a treaty which establishes it and from the date of such entry into force”).

<sup>11</sup> See Lassa FL Oppenheim, *Oppenheim’s International Law*, 7<sup>th</sup> Ed., vol. 1, 1948, at 500.

<sup>12</sup> See Jean S. Pictet, *Commentary on the Fourth Geneva Convention Relative to the Protection of Civilian Persons in Time of War of 12 August 1949*, Geneva, 1958, at 275.

<sup>13</sup> See Thomas Cottier, Jörg Paul Müller, “Estoppel”, *Max Planck Encyclopedias of International Law*, April 2007, available at <<https://opil.ouplaw.com/view/10.1093/law:epil/9780199231690/law-9780199231690-e1401>> (accessed on 20 May 2020).

<sup>14</sup> See United States House of Representatives, 53rd Congress, *Executive Documents on Affairs in Hawai‘i: 1894-95*, 1895, at 1269, available at <[https://hawaiiankingdom.org/pdf/Willis\\_to\\_Gresham\\_\(12.20.1893\).pdf](https://hawaiiankingdom.org/pdf/Willis_to_Gresham_(12.20.1893).pdf)> (accessed on 20 May 2020).

<sup>15</sup> In this respect, it is to be emphasized that “a sovereign State would continue to exist despite its government being overthrown by military force”; see David Keanu Sai, “The Royal Commission of Inquiry”, in David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom*, Honolulu, 2020, 12, at 14.

<sup>16</sup> See James Crawford, *The Creation of States in International Law*, 2<sup>nd</sup> Ed., Oxford, 2006, at 702.

<sup>17</sup> See Ian Brownlie, *Principles of Public International Law*, 7<sup>th</sup> Ed., Oxford, 2008, at 78.

<sup>18</sup> See <<https://pcacases.com/web/view/35>> (accessed on 16 May 2020).

Kingdom,<sup>19</sup> it consequently could not be considered as “belligerent”. In fact, a territory is considered occupied “when it is placed under the authority of the hostile army [...] The law on occupation applies to all cases of partial or total occupation, even if such occupation does not encounter armed resistance. The essential ingredient for applicability of the law of occupation is therefore the actual control exercised by the occupying forces”.<sup>20</sup> This is consistent with the rule expressed in Article 42 of the Regulations annexed to the *Hague Convention (IV) respecting the Laws and Customs of War on Land* of 1907—affirming that a “[t]erritory is considered occupied when it is actually placed under the authority of the hostile army”—as well as with Article 2 common to the four Geneva Conventions of 1949, establishing that such Conventions apply “to all cases of partial or total occupation of the territory of a High Contracting Party, *even if the said occupation meets with no armed resistance*” (emphasis added).

7. Once having ascertained that, under international law, the Hawaiian Kingdom continues to exist as an independent State, it is now time to assess the legitimacy and powers of the Regency. According to the *Lexico Oxford Dictionary*, a “regency” is “[t]he office of or period of government by a regent”.<sup>21</sup> In a more detailed manner, the *Black's Law Dictionary*, which is the most trusted and widely used legal dictionary in the United States, defines the term in point as “[t]he man or body of men intrusted with the vicarious government of a kingdom during the minority, absence, insanity, or other disability of the king”.<sup>22</sup> Therefore, it appears that, in consideration of the current situation of the Hawaiian Kingdom, a regency is the right body entitled to provisionally exercise the powers of the Hawaiian Executive Monarch in the absence of the latter, an absence which forcibly continues at present due to the persistent situation of military occupation to which the Hawaiian territory is subjected.
8. In legal terms, the legitimacy of the Hawaiian Council of Regency is grounded on Articles 32 and 33 of the *Hawaiian Kingdom Constitution* of 1864. In particular, Article 32 states that “[w]henever, upon the decease of the Reigning Sovereign, the Heir shall be less than eighteen years of age, the Royal Power shall be exercised by a Regent Council of Regency; as hereinafter provided”. As far as Article 33 is concerned, it affirms that

“[i]t shall be lawful for the King at any time when he may be about to absent himself from the Kingdom, to appoint a Regent or Council of Regency, who shall administer the Government in His name; and likewise the King may, by His last Will and Testament, appoint a Regent or Council of Regency to administer the Government during the minority of any Heir to the Throne; and should a Sovereign decease, leaving a Minor Heir, and having made no last Will and Testament, the Cabinet Council at the time of such decease shall be a Council of Regency, until the Legislative Assembly, which shall be called immediately, may be assembled, and the Legislative Assembly immediately that it is assembled shall proceed to choose by ballot, a Regent of Council of Regency, who shall administer the Government in the name of the King, and exercise all the powers which are Constitutionally vested in the King, until he shall have attained the age of eighteen years, which age is declared to be the Legal Majority of such Sovereign”.

The Council of Regency was established by proclamation on February 28, 1997, by virtue of the offices made vacant in the Cabinet Council, on the basis of the doctrine of necessity, the application of which was justified by the absence of a Monarch. Therefore, **the Council of Regency possesses**

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<sup>19</sup> It is to be noted, in this respect, that no armed resistance was opposed to the occupation despite the fact that, as acknowledged by US President Cleveland, the Queen “had at her command at least five hundred fully armed men and several pieces of artillery. Indeed, the whole military force of her kingdom was on her side and at her disposal”; see United States House of Representatives, 53rd Congress, *Executive Documents on Affairs in Hawai‘i: 1894-95, 1895*, at 453, available at <[https://hawaiiankingdom.org/pdf/Willis\\_to\\_Gresham\\_\(12.20.1893\).pdf](https://hawaiiankingdom.org/pdf/Willis_to_Gresham_(12.20.1893).pdf)> (accessed on 20 May 2020).

<sup>20</sup> See International Committee of the Red Cross, “The Law of Armed Conflict. Belligerent Occupation”, Geneva, June 2002, available at <[https://www.icrc.org/en/doc/assets/files/other/law9\\_final.pdf](https://www.icrc.org/en/doc/assets/files/other/law9_final.pdf)> (accessed on 17 May 2020), at 3.

<sup>21</sup> See <<https://www.lexico.com/en/definition/regency>> (accessed on 17 May 2020).

<sup>22</sup> See <<https://thelawdictionary.org/regency/>> (accessed on 17 May 2020).

- the constitutional authority to temporarily exercise the Royal powers of the Hawaiian Kingdom.** The Council of Regency, composed by *de facto* officers, is actually serving as the provisional government of the Hawaiian Kingdom, and, should the military occupation come to an end, it shall immediately convene the Legislative Assembly, which “shall proceed to choose by ballot, a Regent of Council of Regency, who shall administer the Government in the name of the King, and exercise all the powers which are Constitutionally vested in the King” until it shall not be possible to nominate a Monarch, pursuant to Article 33 of the Hawaiian Kingdom Constitution of 1864.
9. In light of the foregoing—particularly in consideration of the fact that, under international law, the Hawaiian Kingdom continues to exist as an independent State, although subjected to a foreign occupation, and that the Council of Regency has been established consistently with the constitutional principles of the Hawaiian Kingdom and, consequently, possesses the legitimacy of temporarily exercising the functions of the Monarch of the Kingdom—it is possible to conclude that **the Regency actually has the authority to represent the Hawaiian Kingdom as a State, which has been under a belligerent occupation by the United States of America since 17 January 1893, both at the domestic and international level.**
- b) *Assuming the Regency does have the authority, what effect would its proclamations have on the civilian population of the Hawaiian Islands under international humanitarian law, to include its proclamation recognizing the State of Hawai‘i and its Counties as the administration of the occupying State on 3 June 2019?*
10. As previously ascertained, the Council of Regency actually possesses the constitutional authority to temporarily exercise the Royal powers of the Hawaiian Kingdom and, consequently, has the authority to represent the Hawaiian Kingdom as a State pending the American occupation and, in any case, up to the moment when it shall be possible to convene the Legislative Assembly pursuant to Article 33 of the Hawaiian Kingdom Constitution of 1864. This means that **the Council of Regency is exactly in the same position of a government of a State under military occupation, and is vested with the rights and powers recognized to governments of occupied States pursuant to international humanitarian law.**
11. In principle, however, such rights and powers are quite limited, by reason of the fact that the governmental authority of a government of a State under military occupation has been replaced by that of the occupying power, “[t]he authority of the legitimate power having in fact passed into the hands of the occupant”.<sup>23</sup> At the same time, the ousted government retains the function and the duty of, to the extent possible, preserving order, protecting the rights and prerogatives of local people and continuing to promote the relations between its people and foreign countries. In the *Larsen* case, the claimant even asserted that the Council of Regency had “an obligation and a responsibility under international law, to take steps to protect Claimant’s nationality as a Hawaiian subject”;<sup>24</sup> the Arbitral Tribunal established by the PCA, however, did not provide a response regarding this claim. In any event, leaving aside the latter specific aspect, in light of its position the Council of Regency may to a certain extent interact with the exercise of the authority by the occupying power. This is consistent with the fact that the occupant is under an international obligation to “take all the measures in his power to restore, and ensure, as far as possible, public order and safety, while respecting, unless absolutely prevented, the laws in force in the country”.<sup>25</sup> Indeed, as noted by the eminent jurist Robert Y. Jennings in an influential article published in 1946,<sup>26</sup> one of the main

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<sup>23</sup> See Article 43 of the Regulations annexed to the Hague Convention (IV) respecting the Laws and Customs of War on Land of 1907.

<sup>24</sup> See *Larsen v. Hawaiian Kingdom*, *supra* n. 1, at 12.8.

<sup>25</sup> See Article 43 of the Regulations annexed to the Hague Convention (IV) respecting the Laws and Customs of War on Land of 1907.

<sup>26</sup> See “Government in Commission”, 23 *British Year Book of International Law*, 1946, 112.

purposes of the law of belligerent occupation is to protect the sovereign rights of the legitimate government of the occupied territory, and the obligations of the occupying power in this regard continue to exist “even when, in disregard of the rules of international law, it claims [...] to have annexed all or part of an occupied territory”.<sup>27</sup> It follows that, the ousted government being the entity which represents the “legitimate government” of the occupied territory, it may “attempt to influence life in the occupied area out of concern for its nationals, to undermine the occupant’s authority, or both. One way to accomplish such goals is to legislate for the occupied population”.<sup>28</sup> In fact, “occupation law does not require an exclusive exercise of authority by the Occupying Power. It allows for authority to be shared by the Occupying Power and the occupied government, provided the former continues to bear the ultimate and overall responsibility for the occupied territory”.<sup>29</sup> While in several cases occupants have maintained the inapplicability to the occupied territory of new legislation enacted by the occupied government, for the reason that it “could undermine their authority [...] the majority of post-World War II scholars, also relying on the practice of various national courts, have agreed that the occupant should give effect to the sovereign’s new legislation as long as it addresses those issues in which the occupant has no power to amend the local law, most notably in matters of personal status”.<sup>30</sup> The Swiss Federal Tribunal has even held that “[e]nactments by the [exiled government] are constitutionally laws of the [country] and applied *ab initio* to the territory occupied [...] even though they could not be effectively implemented until the liberation”.<sup>31</sup> Although this position was taken with specific regard to exiled governments, and the Council of Regency was not established *in exile* but *in situ*, the conclusion, to the extent that it is considered valid, would not substantially change as regards the Council of Regency itself.

12. It follows from the foregoing that, under international humanitarian law, **the proclamations of the Council of Regency are not divested of effects as regards the civilian population of the Hawaiian Islands.** In fact, considering these proclamations as included in the concept of “legislation” referred to in the previous paragraph,<sup>32</sup> they might even, if the concrete circumstances of the case so allow, apply retroactively at the end of the occupation, irrespective of whether or not they must be respected by the occupying power during the occupation, on the condition that the legislative acts in point do not “disregard the rights and expectations of the occupied population”.<sup>33</sup> It is therefore necessary that the occupied government refrains “from using the national law as a vehicle to undermine public order and civil life in the occupied area”.<sup>34</sup> In other words, in exercising the legislative function during the occupation, the ousted government is subjected to the condition of not undermining the rights and interests of the civilian population. However, once the latter requirement is actually respected, the proclamations of the ousted government—including, in the case of Hawai‘i, those of the Council of Regency—may be considered applicable to local people, unless such applicability is explicitly refuted by the occupying authority, in its position of an entity bearing “the ultimate and overall responsibility for the occupied territory”.<sup>35</sup> In this regard, however, it is reasonable to assume that the occupying power should not deny the applicability of the above

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<sup>27</sup> See Pictet, *Commentary on the Fourth Geneva Convention Relative to the Protection of Civilian Persons in Time of War of 12 August 1949*, *supra* n. 12, at 276.

<sup>28</sup> See Eyal Benvenisti, *The International Law of Occupation*, 2<sup>nd</sup> Ed., Oxford, 2012, at 104.

<sup>29</sup> See Philip Spoerri, “The Law of Occupation”, in Andrew Clapham and Paola Gaeta (eds.), *The Oxford Handbook of International Law in Armed Conflict*, Oxford, 2014, 182, at 190.

<sup>30</sup> See Benvenisti, *The International Law of Occupation*, *supra* n. 28, at 104-105.

<sup>31</sup> See *Ammon v. Royal Dutch Co.*, 21 *International Law Reports*, 1954, 25, at 27.

<sup>32</sup> This is consistent with the assumption that the expression “laws in force in the country”, as used by Article 43 of the Regulations annexed to the Hague Convention (IV) respecting the Laws and Customs of War on Land of 1907 (see *supra*, text corresponding to n. 25), “refers not only to laws in the strict sense of the word, but also to the constitution, decrees, ordinances, court precedents [...] as well as administrative regulations and executive orders”; see Marco Sassòli, “Legislation and Maintenance of Public Order and Civil Life by Occupying Powers”, 16 *European Journal of International Law*, 2005, 661, at 668-69.

<sup>33</sup> See Benvenisti, *The International Law of Occupation*, *supra* n. 28, at 105.

<sup>34</sup> *Ibid.*, at 106.

<sup>35</sup> See *supra*, text corresponding to n. 29.

proclamations when they do not undermine, or significantly interfere with the exercise of, its authority. This would be consistent with the obligation of the occupying power “to maintain the status quo ante (i.e. as it was before) in the occupied territory as far as is practically possible”,<sup>36</sup> considering that local authorities are better placed to know what are the actual needs of the local population and of the occupied territory, in view of guaranteeing that the status quo ante is effectively maintained.

13. As regards, specifically, the Council of Regency’s Proclamation recognizing the State of Hawai‘i and its Counties as the administration of the occupying State of 3 June 2019,<sup>37</sup> it reads as follows:

“Whereas, in order to account for the present circumstances of the prolonged illegal occupation of the Hawaiian Kingdom and to provide a temporary measure of protection for its territory and the population residing therein, the public safety requires action to be taken in order for the State of Hawai‘i and its Counties to begin to comply with the 1907 Hague Convention, IV, the 1949 Geneva Convention, IV, and international humanitarian law:

Now, therefore, We, the acting Council of Regency of the Hawaiian Kingdom, serving in the absence of the Monarch and temporarily exercising the Royal Power of the Kingdom, do hereby recognize the State of Hawai‘i and its Counties, for international law purposes, as the administration of the Occupying Power whose duties and obligations are enumerated in the 1907 Hague Convention, IV, the 1949 Geneva Convention, IV, and international humanitarian law;

And, We do hereby further proclaim that the State of Hawai‘i and its Counties shall preserve the sovereign rights of the Hawaiian Kingdom government, and to protect the local population from exploitation of their persons and property, both real and personal, as well as their civil and political rights under Hawaiian Kingdom law”.

As it is evident from a plain reading of its text, this Proclamation pursues the clear purpose of ensuring the protection of the Hawaiian territory and the people residing therein against the prejudicial effects which may arise from the occupation to which such a territory is actually subjected. Therefore, it represents a legislative act aimed at furthering the interests of the civilian population through ensuring the correct administration of their rights and of the land. As a consequence, it has the nature of an act that is equivalent, in its rationale and purpose (although not in its precise subject), to a piece of legislation concerning matters of personal status of the local population, requiring the occupant to give effect to it.<sup>38</sup> It is true that the Proclamation of 3 June 2019 takes a precise position on the status of the occupying power, the State of Hawai‘i and its Counties being a direct emanation of the United States of America. However, in doing so, the said Proclamation simply reiterates an aspect that is self-evident, since the fact that the State of Hawai‘i and its Counties belong to the political organization of the occupying power, and that they are de facto administering the Hawaiian territory, is objectively irrefutable. It follows that the Proclamation in discussion simply restates rules already existing under international humanitarian law. In fact, the latter clearly establishes the obligation of the occupying power to preserve the sovereign rights of the occupied government (as previously ascertained in this opinion),<sup>39</sup> the “overarching principle [of the law of occupation being] that an occupant does not acquire sovereignty over an occupied territory and therefore any occupation must only be a temporary situation”.<sup>40</sup> Also, it is beyond any doubts that an occupying power is bound to guarantee and protect the human rights of the local population, as defined by the international human rights treaties of which it is a party as well as by customary

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<sup>36</sup> See International Committee of the Red Cross, “The Law of Armed Conflict. Belligerent Occupation”, *supra* n. 20, at 9.

<sup>37</sup> Available at <[https://www.hawaiiankingdom.org/pdf/Proc\\_Recognizing\\_State\\_of\\_HI.pdf](https://www.hawaiiankingdom.org/pdf/Proc_Recognizing_State_of_HI.pdf)> (accessed on 18 May 2020).

<sup>38</sup> See *supra* text corresponding to n. 30.

<sup>39</sup> See, in particular, *supra*, para. 11.

<sup>40</sup> See United Nations, Officer of the High Commissioner of Human Rights, “Belligerent Occupation: Duties and Obligations of Occupying Powers”, September 2017, available at <[https://www.humanitarianresponse.info/sites/www.humanitarianresponse.info/files/documents/files/ohchr\\_syria\\_-\\_belligerent\\_occupation\\_-\\_legal\\_note\\_en.pdf](https://www.humanitarianresponse.info/sites/www.humanitarianresponse.info/files/documents/files/ohchr_syria_-_belligerent_occupation_-_legal_note_en.pdf)> (accessed on 19 May 2020), at 3.

international law. This has been authoritatively confirmed, *inter alia*, by the International Court of Justice.<sup>41</sup> While the Proclamation makes reference to the duty of the State of Hawai‘i and its Counties to protect the human rights of the local population “under Hawaiian Kingdom law”, and not pursuant to applicable international law, this is consistent with the obligation of the occupying power to respect, to the extent possible, the law in force in the occupied territory. In this regard, respecting the domestic laws which protect the human rights of the local population undoubtedly falls within “the extent possible”, because it certainly does not undermine, or significantly interfere with the exercise of, the authority of the occupying power, and is consistent with existing international obligations. In other words, the occupying power cannot be considered “absolutely prevented”<sup>42</sup> from applying the domestic laws protecting the human rights of the local population, unless it is demonstrated that the level of protection of human rights guaranteed by Hawaiian Kingdom law is less advanced than human rights standards established by international law. Only in this case, the occupying power would be under a duty to ensure in favour of the local population the higher level of protection of human rights guaranteed by international law. In sum, **the Council of Regency’s Proclamation of 3 June 2019 may be considered as a domestic act implementing international rules at the internal level, which should be effected by the occupying power pursuant to international humanitarian law, since it does not undermine, or significantly interfere with the exercise of, its authority.**

14. It may be concluded that, under international humanitarian law, **the proclamations of the Council of Regency**—including the Proclamation recognizing the State of Hawai‘i and its Counties as the administration of the occupying State on 3 June 2019—**have on the civilian population the effect of acts of domestic legislation aimed at protecting their rights and prerogatives, which should be, to the extent possible, respected and implemented by the occupying power.**

*c) Comment on the working relationship between the Regency and the administration of the occupying State under international humanitarian law.*

15. As previously noted, “occupation law [...] allows for authority to be shared by the Occupying Power and the occupied government, provided the former continues to bear the ultimate and overall responsibility for the occupied territory”.<sup>43</sup> This said, it is to be kept well in mind that belligerent occupation necessarily has a *non-consensual nature*. In fact, “[t]he absence of consent from the state whose territory is subject to the foreign forces’ presence [...] [is] a precondition for the existence of a state of belligerent occupation. Without this condition, the situation would amount to a ‘pacified occupation’ not subject to the law of occupation”.<sup>44</sup> At the same time, we also need to remember that the absence of armed resistance by the territorial government can in no way be interpreted as determining the existence of an implied consent to the occupation, consistently with the principle enshrined by Article 2 common to the four Geneva Conventions of 1949.<sup>45</sup> On the contrary, the consent, “for the purposes of occupation law, [...] [must] be genuine, valid and explicit”.<sup>46</sup> It is evident that such a consent has never been given by the government of the Hawaiian Kingdom. On the contrary, the Hawaiian government opposed the occupation since its very beginning. In

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<sup>41</sup> See, in particular, *Legal Consequences of the Construction of a Wall in the Occupied Palestinian Territory*, Advisory Opinion of 9 July 2004, *ICJ Reports*, 2004, at 111-113; *Case Concerning Armed Activities on the Territory of the Congo (Democratic Republic of Congo v. Uganda)*, Judgement of 19 December 2005, at 178. For a more comprehensive assessment of this issue see Federico Lenzerini, “International Human Rights Law and Self-Determination of Peoples Related to the United States Occupation of the Hawaiian Kingdom”, in David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom*, Honolulu, 2020, 173, at 203-205.

<sup>42</sup> See *supra*, text corresponding to n. 25

<sup>43</sup> See *supra*, text corresponding to n. 29.

<sup>44</sup> See Spoerri, “The Law of Occupation”, *supra* n. 29, at 190.

<sup>45</sup> See *supra*, para. 6.

<sup>46</sup> See Spoerri, “The Law of Occupation”, *supra* n. 29, at 190.

particular, Queen Lili‘uokalani, executive monarch of the Hawaiian Kingdom, on 17 January 1893 stated that,

“to avoid any collision of armed forces and perhaps the loss of life, I do, under this protest, and impelled by said force, yield my authority until such time as the Government of the United States shall, upon the facts being presented to it, undo the action of its representatives and reinstate me in the authority which I claim as the constitutional sovereign of the Hawaiian Islands”.<sup>47</sup>

The opposition to the occupation has never been abandoned up to the time of this writing, although for some long decades it was stifled by the policy of *Americanization* brought about by the US government in the Hawaiian Islands. It has eventually revived in the last three lustrums, with the establishment of the Council of Regency.

16. Despite the fact that the occupation inherently configures as a situation unilaterally imposed by the occupying power—any kind of consent of the ousted government being totally absent—there still is some space for “cooperation” between the occupying and the occupied government—in the specific case of Hawai‘i between the State of Hawai‘i and its Counties and the Council of Regency. Before trying to specify the characteristics of such a cooperation, it is however important to reiterate that, under international humanitarian law, the last word concerning any acts relating to the administration of the occupied territory is with the occupying power. In other words, “occupation law would allow for a vertical, but not a horizontal, sharing of authority [...] [in the sense that] this power sharing should not affect the ultimate authority of the occupier over the occupied territory”.<sup>48</sup> This vertical sharing of authority would reflect “the hierarchical relationship between the occupying power and the local authorities, the former maintaining a form of control over the latter through a top-down approach in the allocation of responsibilities”.<sup>49</sup>
17. The cooperation referred to in the previous paragraph is implied or explicitly established in some provisions of the Fourth Geneva Convention of 1949. In particular, Article 47 states that

“Protected persons who are in occupied territory shall not be deprived, in any case or in any manner whatsoever, of the benefits of the present Convention by any change introduced, as the result of the occupation of a territory, into the institutions or government of the said territory, nor by any agreement concluded between the authorities of the occupied territories and the Occupying Power, nor by any annexation by the latter of the whole or part of the occupied territory”.

Through referring to possible agreements “concluded between the authorities of the occupied territories and the Occupying Power”, this provision clearly implies the possibility of establishing cooperation between the occupying and the occupied government. More explicitly, Article 50 affirms that “[t]he Occupying Power shall, with the cooperation of the national and local authorities, facilitate the proper working of all institutions devoted to the care and education of children”, while Article 56 establishes that, “[t]o the fullest extent of the means available to it, the Occupying Power has the duty of ensuring and maintaining, with the cooperation of national and local authorities, the medical and hospital establishments and services, public health and hygiene in the occupied territory [...]”.

As far as United States practice is concerned, it acknowledges that “[t]he functions of the [occupied] government—whether of a general, provincial, or local character—continue only to the extent they

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<sup>47</sup> See United States House of Representatives, 53rd Congress, *Executive Documents on Affairs in Hawai‘i: 1894-95*, 1895, at 586.

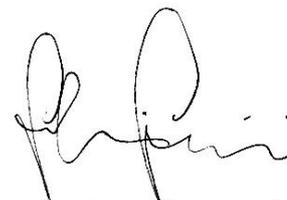
<sup>48</sup> See International Committee of the Red Cross, *Expert Meeting. Occupation and Other Forms of Administration of Foreign Territory. Report*, Geneva, 2012, available at <<https://www.icrc.org/en/doc/assets/files/publications/icrc-002-4094.pdf>> (accessed on 20 May 2020), at 20.

<sup>49</sup> *Ibid.*, at footnote 7.

are sanctioned”.<sup>50</sup> With specific regard to cooperation with the occupied government, it is also recognized that “[t]he occupant may, while retaining its paramount authority, permit the government of the country to perform some or all of its normal functions”.<sup>51</sup>

18. Importantly, the provisions referred to in the previous paragraph exactly refer to issues related to the protection of civilian persons and of their rights, which is one of the two main aspects (together with the preservation of the sovereign rights of the Hawaiian Kingdom government) dealt with by the Council of Regency’s Proclamation recognizing the State of Hawai‘i and its Counties as the administration of the occupying State of 3 June 2019.<sup>52</sup> In practice, the cooperation advocated by the provisions in point may take different forms, one of which translates into the possibility for the ousted government to adopt legislative provisions concerning the above aspects. As previously seen, the occupying power has, *vis-à-vis* the ensuing legislation, a duty not to oppose to it, because it normally does not undermine, or significantly interfere with the exercise of, its authority. Further to this, it is reasonable to assume that—in light of the spirit and the contents of the provisions referred to in the previous paragraph—the occupying power has a duty to cooperate in giving realization to the legislation in point, unless it is “absolutely prevented” to do so. This duty to cooperate appears to be reciprocal, being premised on both the Council of Regency and the State of Hawai‘i and its Counties to ensure compliance with international humanitarian law.
19. The latter conclusion is consistent with the logical (and legally-grounded) assumption that the ousted government is better placed than the occupying power in order to know what are the real needs of the civilian population and what are the concrete measures to be taken to guarantee an effective response to such needs. It follows that, through allowing the legislation in discussion to be applied—and through contributing in its effective application—the occupying power would better comply with its obligation, existing under international humanitarian law and human rights law, to guarantee and protect the human rights of the local population. It follows that the occupying power has a duty—if not a proper legal obligation—to cooperate with the ousted government to better realize the rights and interest of the civilian population, and, more in general, to guarantee the correct administration of the occupied territory.
20. In light of the foregoing, it may be concluded that **the working relationship between the Regency and the administration of the occupying State should have the form of a cooperative relationship aimed at guaranteeing the realization of the rights and interests of the civilian population and the correct administration of the occupied territory**, provided that there are no objective obstacles for the occupying power to cooperate and that, in any event, the “supreme” decision-making power belongs to the occupying power itself. This conclusion is consistent with the position of the latter as “administrator” of the Hawaiian territory, as stated in the Council of Regency’s Proclamation recognizing the State of Hawai‘i and its Counties as the administration of the occupying State of 3 June 2019 and presupposed by the pertinent rules of international humanitarian law.

24 May 2020



Professor Federico Lenzerini

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<sup>50</sup> See “The Law of Land Warfare”, *United States Army Field Manual 27-10*, July 1956, Section 367(a).

<sup>51</sup> *Ibid.*, Section 367(b).

<sup>52</sup> See *supra*, text following n. 37.



## Larsen v. Hawaiian Kingdom

Case name	Larsen v. Hawaiian Kingdom
Case description	<p>Lance Paul Larsen, a resident of Hawaii, brought a claim against the Hawaiian Kingdom by its Council of Regency (“Hawaiian Kingdom”) on the grounds that the Government of the Hawaiian Kingdom is in continual violation of: (a) its 1849 Treaty of Friendship, Commerce and Navigation with the United States of America, as well as the principles of international law laid down in the Vienna Convention on the Law of Treaties, 1969 and (b) the principles of international comity, for allowing the unlawful imposition of American municipal laws over the claimant’s person within the territorial jurisdiction of the Hawaiian Kingdom.</p> <p>In determining whether to accept or decline to exercise jurisdiction, the Tribunal considered the questions of whether there was a legal dispute between the parties to the proceeding, and whether the tribunal could make a decision regarding that dispute, if the very subject matter of the decision would be the rights or obligations of a State not party to the proceedings.</p> <p>The Tribunal underlined the many points of agreement between the parties, particularly with respect to the propositions that Hawaii was never lawfully incorporated into the United States, and that it continued to exist as a matter of international law. The Tribunal noted that if there existed a dispute, it concerned whether the respondent has fulfilled what both parties maintain is its duty to protect the Claimant, not in the abstract but against the acts of the United States of America as the occupant of the Hawaiian islands. Moreover, the United States’ actions would not give rise to a duty of protection in international law unless they were themselves unlawful in international law. The Tribunal concluded that it could not determine whether the Respondent has failed to discharge its obligations towards the Claimant without ruling on the legality of the acts of the United States of America – something the Tribunal was precluded from doing as the United States was not party to the case.</p>
Name(s) of claimant(s)	Lance Paul Larsen ( Private entity )
Name(s) of respondent(s)	The Hawaiian Kingdom ( State )
Names of parties	
Case number	1999-01
Administering institution	Permanent Court of Arbitration (PCA)
Case status	Concluded
Type of case	Other proceedings
Subject matter or economic sector	Treaty interpretation
Rules used in arbitral proceedings	UNCITRAL Arbitration Rules 1976
Treaty or contract under which proceedings were commenced	Other The 1849 Treaty of Friendship, Commerce and Navigation with the United States of America
Language of proceeding	English
Seat of arbitration (by country)	Netherlands
Arbitrator(s)	Dr. Gavan Griffith QC Professor Christopher J. Greenwood QC Professor James Crawford SC (President of the Tribunal)
Representatives of the claimant(s)	Ms. Ninia Parks, Counsel and Agent
Representatives of the respondent(s)	Mr. David Keanu Sai, Agent

Mr. Peter Umialiloa Sai, First deputy agent  
Mr. Gary Victor Dubin, Second deputy agent and counsel

Representatives of the parties

Number of arbitrators in case 3

Date of commencement of proceeding [dd-mm-yyyy] 08-11-1999

Date of issue of final award [dd-mm-yyyy] 05-02-2001

Length of proceedings 1-2 years

Additional notes

Attachments **Award or other decision**

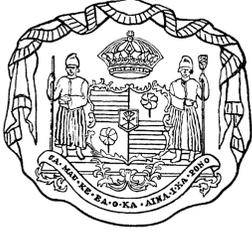
> [Arbitral Award](#) 15-05-2014 English

**Other**

> [Annex 1 - President Cleveland's Message to the Senate and the House of Representatives](#) 18-12-1893 English

> [Joint Resolution - To acknowledge the 100th anniversary of the January 17, 1893 overthrow of the Kingdom of Hawaii, and to offer an apology to the native Hawaiians on behalf of the United States for the overthrow of the Kingdom of Hawaii.](#) 23-11-1993 English





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June 2, 2020

Clare E. Connors  
State of Hawai‘i Attorney General  
Department of the Attorney General  
425 Queen Street  
Honolulu, HI 96813

Re: Royal Commission of Inquiry—*Investigating War Crimes and Human Rights  
Violations Committed in the Hawaiian Islands*

Dear Attorney General Connors:

My name is Dr. David Keanu Sai and I am the head of the Royal Commission of Inquiry (“Royal Commission”). The Royal Commission was established, by proclamation of the Council of Regency, on April 17, 2019. Its mandate is to investigate war crimes and human rights violations committed within the territorial jurisdiction of the Hawaiian Kingdom since the unlawful overthrow of the Hawaiian government in 1893 and the subsequent belligerent occupation by the United States that has ensued since.

I have a Ph.D. in political science from the University of Hawai‘i at Manoa where I specialized in international relations and public law. My doctoral studies and publications focused on the legal and political history of the Hawaiian Kingdom and its prolonged occupation by the United States since 1893. I also served as an officer for ten years in the Hawai‘i Army National Guard, 1<sup>st</sup> Battalion 487<sup>th</sup> Field Artillery. Before I was honorably discharged in 1994, I served as commander for Charlie battery.

I am aware that your office is responsible for having initiated investigations of myself and other officers of the Council of Regency. I can assure you that we are not a self-proclaimed sovereignty group, but rather the interim government of the Hawaiian Kingdom established by proclamation on February 28, 1997, that is vested with the rights and powers afforded

to a government of an occupied State in accordance with international humanitarian law. A recent documentary, which won several awards at independent film festivals, covers the Council of Regency and its strategy to engage the prolonged occupation of the Hawaiian Kingdom.<sup>1</sup>

The lawful authority of the Council of Regency has also been the subject of a recent legal opinion by Professor Federico Lenzerini, a professor of international law from the University of Siena, Italy.<sup>2</sup> The American treatise, *Restatement (Third) of the Foreign Relations Law of the United States*, recognizes that “writings of scholars”<sup>3</sup> are a source of international law in determining, in this case, whether the Council of Regency has been established in conformity with the rules of international humanitarian law.

In his opinion, Professor Lenzerini concluded, under the rules of international law and Hawaiian constitutional law, that (1) the “Council of Regency possesses the constitutional authority to temporarily exercise the Royal powers of the Hawaiian Kingdom;”<sup>4</sup> (2) the Council of Regency “has the authority to represent the Hawaiian Kingdom as a State, which has been under a belligerent occupation by the United States of America since 17 January 1893, both at the domestic and international level;”<sup>5</sup> and (3) the “Council of Regency is exactly in the same position of a government of a State under military occupation, and is vested with the rights and powers recognized to governments of occupied States pursuant to international humanitarian law.”<sup>6</sup> He further concludes:

[U]nder international humanitarian law, the proclamations of the Council of Regency are not divested of effects as regards the civilian population of the Hawaiian Islands. In fact, considering these proclamations as included in the concept of “legislation”...they might even, if the concrete circumstances of the case so allow, apply retroactively at the end of the occupation, on the condition that the legislative acts in point do not “disregard the rights and expectations of the occupied population.” It is therefore necessary that the occupied government refrains “from using the national law as a vehicle to undermine public order and civil life in the occupied area.”<sup>7</sup>

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<sup>1</sup> Hawaiian Kingdom Academia, *The Acting Hawaiian Council of Regency—Exposing the American Occupation of the Hawaiian Kingdom* (August 13, 2019) (online at: <https://www.youtube.com/watch?v=CF6CaLAMh98>).

<sup>2</sup> Federico Lenzerini, *Legal Opinion on the Authority of the Council of Regency of the Hawaiian Kingdom*, in the Royal Commission of Inquiry’s Preliminary Report—The Authority of the Council of Regency of the Hawaiian Kingdom (2020) (online at: [https://hawaiiankingdom.org/pdf/RCL\\_Preliminary\\_Report\\_Regency\\_Authority.pdf](https://hawaiiankingdom.org/pdf/RCL_Preliminary_Report_Regency_Authority.pdf)).

<sup>3</sup> *Restatement (Third) of the Foreign Relations Law of the United States* §103(2)(c) (1987).

<sup>4</sup> Lenzerini *Legal Opinion*, para. 9.

<sup>5</sup> *Id.*

<sup>6</sup> *Id.*, para. 10.

<sup>7</sup> *Id.*, para. 12.

At the international level, the Council of Regency represented the Hawaiian Kingdom in arbitral proceedings at the Permanent Court of Arbitration, in *Larsen v. Hawaiian Kingdom*, from 1999-2001, where I served as the Hawaiian Kingdom’s Agent and head of its legal team.<sup>8</sup> The Permanent Court of Arbitration acknowledged the Council of Regency as the government of the Hawaiian Kingdom. At the center of these proceedings was the unlawful imposition of United States municipal laws, by the State of Hawai‘i, within the territory of the Hawaiian Kingdom that led to the war crimes of *unfair trial*, *unlawful confinement* and *pillaging* of Mr. Larsen. The *American Journal of International Law*, reported:

At the center of the PCA proceeding was...that the Hawaiian Council of Regency (representing the Hawaiian Kingdom) is legally responsible under international law for the protection of Hawaiian subjects, including the claimant. In other words, the Hawaiian Kingdom was legally obligated to protect Larsen from the United States’ ‘unlawful imposition [over him] of [its] municipal laws’ through its political subdivision, the State of Hawaii. As a result of this responsibility, Larsen submitted, the Hawaiian Council of Regency should be liable for any international law violations that the United States committed against him.<sup>9</sup>

Imposition of United States legislative and administrative measures constitutes the war crime of *usurpation of sovereignty* under customary international law. This includes the legislative and administrative measures of the State of Hawai‘i and its Counties. Professor William Schabas, renowned expert in international criminal law, authored a legal opinion for the Royal Commission that identified *usurpation of sovereignty*, among other international crimes, as a war crime that has and continues to be committed in the Hawaiian Islands.<sup>10</sup> His legal opinion was also incorporated in a book published by the Royal Commission as chapter 4—*War Crimes Related to the United States Belligerent Occupation of the Hawaiian Kingdom*.<sup>11</sup> This publication is downloadable as an eBook at no charge.<sup>12</sup>

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<sup>8</sup> Permanent Court of Arbitration, *Larsen v. Hawaiian Kingdom*, PCA Case no. 1999-01 (online at: <https://pca-cpa.org/en/cases/35/>).

<sup>9</sup> David J. Bederman and Kurt R. Hilbert, “Arbitration—UNCITRAL Rules—justiciability and indispensable third parties—legal status of Hawaii,” 95 *Am. J. Int’l L.* 927, 928 (2001).

<sup>10</sup> William Schabas, *Legal Opinion on war crimes related to the United States occupation of the Hawaiian Kingdom since 17 January 1893* (2020) (online at: [https://hawaiiankingdom.org/pdf/Opinion\\_War-Crimes\\_Schabas\\_RCI.pdf](https://hawaiiankingdom.org/pdf/Opinion_War-Crimes_Schabas_RCI.pdf)).

<sup>11</sup> William Schabas, “War Crimes Related to the United States Belligerent Occupation of the Hawaiian Kingdom”, in David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom* 151-169 (2020) (online at [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

<sup>12</sup> David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom* (2020) (online at [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

One of the profound consequences of the prolonged occupation renders all judgments and orders of judicial or administrative courts of the United States in Hawai‘i, to include the State of Hawai‘i, void. According to the “voidness doctrine,” any judgment of a court without having subject matter jurisdiction over the case before it is entirely null and void.<sup>13</sup> “When a suit is brought and determined in a court which has no jurisdiction in the matter,” says Henry Black, “then it is said to be *coram non judice*, and the judgment is void.”<sup>14</sup>

In *State of Hawai‘i v. Lorenzo*, the Appellate Court in 1994 acknowledged the consequences of the 1893 overthrow of the Hawaiian Kingdom government. In its decision, the Court stated, the “illegal overthrow leaves open the question whether the present governance system should be recognized, even though the illegal overthrow predated the United Nations Charter.”<sup>15</sup> According to the rules of international law as it stood in 1893 and how it stands today, United States governance, to include the State of Hawai‘i and its Counties, in the Hawaiian Islands cannot be recognized as lawful.<sup>16</sup>

In its first preliminary report, the Royal Commission addressed the volitional element of war crimes, which is a perpetrator’s awareness of the factual circumstances that established an occupation.<sup>17</sup> Under international criminal law, criminal culpability is met when the perpetrator is aware of the factual circumstances that established the United States occupation of the Hawaiian Kingdom. In its preliminary report, the Royal Commission concluded:

The element of awareness is not an outcome of a moral or legal conclusion on the part of the accused because there is “no requirement for a legal evaluation by the perpetrator as to the existence of an armed conflict or its character as international,” nor is there a “requirement for awareness by the perpetrator of the facts that established the character of the conflict as international.” As the International Criminal Court’s Pre-Trial Chamber stated, “it is not necessary for the perpetrator to have made the necessary value judgment to conclude that the victim did in fact have protected status under any of the 1949 Geneva Conventions.” While there is, however, “only a requirement for the awareness of the factual circumstances,” the Royal Commission will satisfy this element of awareness where there exists clear and unequivocal evidence of awareness on the

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<sup>13</sup> *The Marshalsea*, 10 Co. Rep. 68b, 77 Eng. Rep. 1027 (K.B. 1613).

<sup>14</sup> Black’s Law, 6<sup>th</sup> ed., 337 (1990).

<sup>15</sup> *State of Hawai‘i v. Lorenzo*, 77 Haw. 219, n. 2 (1994); see also David Keanu Sai, “The Royal Commission of Inquiry,” in David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom* 38-40 (2020) (online at [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

<sup>16</sup> Sai, *Royal Commission of Inquiry*, 37-38.

<sup>17</sup> Royal Commission of Inquiry’s Preliminary Report—*The Material Elements of War Crimes and Ascertaining the Mens Rea* (2020) (online at: [https://hawaiiankingdom.org/pdf/RCI\\_Preliminary\\_Report\\_Mens\\_Rea.pdf](https://hawaiiankingdom.org/pdf/RCI_Preliminary_Report_Mens_Rea.pdf)).

part of the accused of the United States occupation of the Hawaiian Kingdom, *e.g.* court records, correspondences, course curriculum, sworn declarations, etc. Also, the fact of being part of the political organization of the United States, to include the State of Hawai‘i and its Counties, because in that case the knowledge of the existing “political” situation could be reasonably presumed especially in light of the 1993 Congressional joint resolution apologizing for the illegal overthrow of the Hawaiian Kingdom government on 17 January 1893.<sup>18</sup>

I am not aware whether you were informed of three meetings I had in 2015 with Mike McCartney, former chief of staff for Governor David Ige, at his office in the Executive Chambers regarding the subject of war crimes and the American occupation. This prompted a report I submitted to him that summarized what we discussed in those three meetings and how the State of Hawai‘i has a duty, under international humanitarian law, to transform itself into a Military government by virtue of Article V, section 5 of the Constitution of the State of Hawai‘i.<sup>19</sup> United States practice for Military government is covered in United States Army and Navy FM 27-5, and occupation of an occupied State is covered in FM 27-10. The Adjutant General, MG Kenneth Hara, should be aware of these regulations and the function of a Military government.

McCartney did not follow up with me and it would appear he did not receive good advice from, I would speculate, the Attorney General at the time, Doug Chin. However, I could be mistaken. Either way, my report that he received firmly establishes that the administration was aware of the United States occupation for purposes of international criminal law. Until the State of Hawai‘i is transformed into a Military government recognizable under international humanitarian law, the war crime of *usurpation of sovereignty* and also war crimes that stem from *usurpation of sovereignty, i.e. unfair trial, destruction of property, pillaging, etc.*, will continue to be committed. As such, this conduct and actions come under the purview of the Royal Commission for investigation and reporting. War crimes have no statute of limitations.

As you will see in Professor Lenzerini’s legal opinion that under international humanitarian law a “relationship between the Regency and the administration of the occupying State should have the form of a cooperative relationship aimed at guaranteeing the realization of the rights and interests of the civilian population and the correct administration of the occupied territory.”<sup>20</sup> Last year, the Maui County Council reached out for information and I provided three workshops to the Planning and Sustainable Land Use Committee on the

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<sup>18</sup> *Id.*, 6.

<sup>19</sup> Dr. Keanu Sai, *Report on Military Government* (July 2, 2015) (online at: [https://hawaiiankingdom.org/pdf/McCartney\\_Ltr\\_7\\_2\\_15\\_\(Report\).pdf](https://hawaiiankingdom.org/pdf/McCartney_Ltr_7_2_15_(Report).pdf)).

<sup>20</sup> *Lenzerini Legal Opinion*, para. 20.

subject of Hawai‘i under international law.<sup>21</sup> The first workshop was a front-page cover story in the *Mauitime* newspaper.<sup>22</sup>

The Royal Commission sees as its priority the establishment of the Military government in order to administer the laws of the occupied State, and, thereby, bringing the State of Hawai‘i and its Counties into compliance with international humanitarian law. Members of the State of Hawai‘i and its Counties are not the insurgents of 1893, but rather friends and family that found themselves in a tenuous situation without any fault of their own. Their actions viewed through the lens of international humanitarian law, however, have led to the commission of war crimes against the civilian population who have been made aware of the prolonged occupation and when they were asserting their rights, they were attacked.

The awareness of the prolonged occupation has reached the National Education Association (NEA) by a resolution introduced in 2017 by the Hawai‘i State Teachers Association at the NEA’s annual convention in Boston.<sup>23</sup> This resulted in three articles I wrote that was published by the NEA on its website in 2018.<sup>24</sup> In my last NEA article, *The Impact of the U.S. Occupation on the Hawaiian People*, I specifically mention war crimes. Furthermore, the National Lawyers Guild, “the oldest and largest progressive bar association in the United States, calls upon the United States to immediately begin to comply with international humanitarian law in its prolonged and illegal occupation of the Hawaiian Kingdom since 1893.”<sup>25</sup>

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<sup>21</sup> First workshop on the status of the Hawaiian Kingdom under international law (May 5, 2019) (online at: <https://hawaiiankingdom.org/blog/video-of-dr-keanu-sais-presentation-to-the-maui-county-council-on-the-status-of-the-hawaiian-kingdom-under-international-law/>). Second workshop (June 5, 2019) (online at: <https://hawaiiankingdom.org/blog/video-of-dr-keanu-sais-second-presentation-to-the-maui-county-council-on-how-the-county-council-complies-with-international-law/>). Third workshop (August 21, 2019) Part I (online at: <https://www.youtube.com/watch?v=dnFxKdHNxN0&t=342s>), and Part II (online at: <https://www.youtube.com/watch?v=jC4mqnY0KhU&t=1217s>).

<sup>22</sup> *Mauitime*, *Hawai‘i: The Fake State—Dr. David Keanu Sai Talks to the Maui County Council about the Ongoing American Occupation of the Hawaiian Kingdom* (March 24, 2019) (online at: <https://mauitime.com/news/politics/hawaii-the-fake-state-dr-david-keanu-sai-talks-to-the-maui-county-council-about-the-ongoing-american-occupation-of-the-hawaiian-kingdom/>).

<sup>23</sup> Hawaiian Kingdom Blog, *American National Teachers Union Recognizes the Illegal Occupation of the Hawaiian Kingdom* (July 11, 2017) (online at: <https://hawaiiankingdom.org/blog/american-national-teachers-union-recognizes-the-illegal-occupation-of-the-hawaiian-kingdom/>).

<sup>24</sup> See Keanu Sai, *The Illegal Overthrow of the Hawaiian Kingdom Government* (April 2, 2018) (online at: <http://neatoday.org/2018/04/02/the-illegal-overthrow-of-the-hawaiian-kingdom-government/>); *The American Occupation of the Hawaiian Kingdom* (October 1, 2018) (online at: <http://neatoday.org/2018/10/01/the-u-s-occupation-of-the-hawaiian-kingdom/>); and *The Impact of the U.S. Occupation on the Hawaiian People* (October 13, 2018) (online at: <http://neatoday.org/2018/10/13/us-occupation-of-hawaii/>).

<sup>25</sup> National Lawyers Guild, Press Release, *NLG Calls Upon US to Immediately Comply with International Humanitarian Law in its Illegal Occupation of the Hawaiian Islands* (January 13, 2020) (online at: [https://www.nlg.org/nlg-calls-upon-us-to-immediately-comply-with-international-humanitarian-law-in-its-illegal-occupation-of-the-hawaiian-islands/#:~:text=The%20National%20Lawyers%20Guild%20\(NLG,the%20Hawaiian%20Kingdom%20since%201893\).](https://www.nlg.org/nlg-calls-upon-us-to-immediately-comply-with-international-humanitarian-law-in-its-illegal-occupation-of-the-hawaiian-islands/#:~:text=The%20National%20Lawyers%20Guild%20(NLG,the%20Hawaiian%20Kingdom%20since%201893).)).

The Royal Commission recognizes that war crimes and human rights violations cannot continue to be committed with impunity and the perpetrators must be held accountable, but it does recognize that the Council of Regency must have a “cooperative relationship aimed at guaranteeing the realization of the rights and interests of the civilian population and the correct administration of the occupied territory.”<sup>26</sup> To this end, I have written this letter of correspondence.

These are not normal times but you are the legal advisor to the Governor, and due to the severity of the situation under international criminal law and the material elements of *mens rea* and *actus reus*, I respectfully implore you to carefully review the information I have provided you and to advise the office of the Governor accordingly. Under international humanitarian law, decisions on this matter are not with the federal government nor is it with its military here in the islands, but solely on the shoulders of the State of Hawai‘i as it is the entity in effective control of Hawaiian territory thereby triggering the law of occupation.<sup>27</sup> I should also note that the governmental infrastructure of the State of Hawai‘i is that of the Hawaiian Kingdom. The only change was in name, e.g. the Department of Land and Natural Resources is the Ministry of the Interior. All that was changed in 1893 was the Queen and her cabinet, and the top law enforcement of the kingdom, being forcibly replaced by insurgents calling themselves the Executive and Advisory Councils.

Notwithstanding the warrantless attacks against myself and other officers of the Council of Regency by the State of Hawai‘i, I am hopeful that its current leadership, as the administration of the occupying State, will begin to meet with the Council of Regency in order to establish a “cooperative relationship” provided by international humanitarian law. In the meantime, the Royal Commission will continue to fulfill its mandate of investigating war crimes and human rights violations and providing periodic reports with the purpose of holding perpetrators accountable under international humanitarian law and human rights law.

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<sup>26</sup> To see how the law of occupation plays a crucial role with the pandemic here in the islands and the protection of the “civilian population and the correct administration of the occupied territory,” read the latest article on the Hawaiian Kingdom Blog titled *Can Hawai‘i Successfully Live with COVID-19 Without a Vaccine? The Answer is Yes But Under the International Law of Occupation* (May 19, 2020) (online at: <https://hawaiiankingdom.org/blog/can-hawaii-successfully-live-with-covid-19-without-a-vaccine-the-answer-is-yes-but-under-the-international-law-of-occupation/>).

<sup>27</sup> David Keanu Sai, “United States Belligerent Occupation of the Hawaiian Kingdom”, in David Keanu Sai (ed.), *The Royal Commission of Inquiry: Investigating War Crimes and Human Rights Violations in the Hawaiian Kingdom* 109-111 (2020) (online at [https://hawaiiankingdom.org/pdf/Hawaiian\\_Royal\\_Commission\\_of\\_Inquiry\\_\(2020\).pdf](https://hawaiiankingdom.org/pdf/Hawaiian_Royal_Commission_of_Inquiry_(2020).pdf)).

Sincerely,



David Keanu Sai, Ph.D.

Head, Hawaiian Royal Commission of Inquiry

cc: David Ige, Governor  
Josh Green, Lieutenant Governor  
Ron Kouchi, President of the Senate  
Scott Saiki, Speaker of the House of Representatives  
Kenneth Hara, Adjutant General  
Kirk Caldwell, City & County of Honolulu Mayor  
Harry Kim, Hawai'i County Mayor  
Michael Victorino, Maui County Mayor  
Derek Kawakami, Kaua'i County Mayor  
Brian Schatz, United States Senator  
Mazie Hirono, United States Senator  
Ed Case, United States Representative  
Tulsi Gabbard, United States Representative

enclosures